

Form No. MGT-9

EXTRACT OF ANNUAL RETURN

as on the financial year ended on March 31, 2019

[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

1. REGISTRATION AND OTHER DETAILS

a. CIN	L65191TN1979PLC007874
b. Registration Date	June 30, 1979
c. Name of the Company	Shriram Transport Finance Company Limited
d. Category/Sub-Category of the Company	Non-Banking Financial Company
e. Address of the Registered office and contact details	Mookambika Complex, 3rd Floor, No. 4, Lady Desika Road, Mylapore, Chennai, Tamil Nadu – 600004, Telephone No.: 044-24990356, Fax: 044 2499 3272
f. Whether listed company Yes / No	Yes
g. Name, Address and Contact details of Registrar and Transfer Agent, if any	Integrated Registry Management Services Private Limited, 2nd Floor, Kences Towers, No. 1, Ramakrishna Street, North Usman Road, T.Nagar, Chennai – 600017, Telephone No.: 044-28140801 to 28140803, Fax : 044- 28142479

2. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company	As per Attachment (I)
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3. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

	As per Attachment(II)
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4. SHARE HOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY)

a. Category-wise Share Holding	As per Attachment(III)
b. Shareholding of Promoters	As per Attachment (IV)
c. Change in Promoters' Shareholding (please specify, if there is no change)	As per Attachment (V)
d. Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs)	As per Attachment (VI)
e. Shareholding of Directors and Key Managerial Personnel	As per Attachment (VII)

5. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/ accrued but not due for payment	As per Attachment (VIII)
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6. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

a. Remuneration to Managing Director, Whole-time Directors and/or Manager	As per Attachment(IX)
b. Remuneration to Other Directors	As per Attachment (X)
c. Remuneration To Key Managerial Personnel Other Than MD/Manager/WTD	As per Attachment (XI)

7. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES

	As per Attachment (XII)
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ANNEXURE - II TO DIRECTOR REPORT

ATTACHMENT (I)

2. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10% or more of the total turnover of the company are given below:

Sr. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Finance for commercial vehicles and other loans	64920 Other credit granting	97.75%

ATTACHMENT (II)

3. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES

Sr. No.	Name and address of the Company	Address of the Company	CIN/GLN	Holding/ Subsidiary / Associate	No. of shares held (%)	Applicable section
1	Shriram Automall India Limited	Mookambika Complex, 3rd Floor, No. 4, Lady Desika Road, Mylapore, Chennai, Tamil Nadu, India - 600004	U50100TN2010PLC074572	Associate Company	44.56%	2(6)

ATTACHMENT (III)

4. SHARE HOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY)

a) Category-wise Share Holding

Category of Shareholders		No. of shares held at the beginning of the year (01/04/2018)				No. of shares held at the end of the year (31/03/2019)				% Change during the year	
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares		
A	PROMOTERS										
	1	Indian									
		a	Individual/HUF	0	0	0	0	0	0	0	
		b	Central Government	0	0	0	0	0	0	0	
		c	State Governments	0	0	0	0	0	0	0	
		d	Bodies Corporate	59,173,023	0	59,173,023	26.08	59,173,023	0	59,173,023	26.08
		e	Banks / FIs	0	0	0	0	0	0	0	
		f	Any other (Specify)	0	0	0	0	0	0	0	
		Sub Total A(1)		59,173,023	0	59,173,023	26.08	59,173,023	0	59,173,023	26.08
	2	Foreign									
		a	NRI - Individuals	0	0	0	0	0	0	0	
		b	Other - Individuals	0	0	0	0	0	0	0	
		c	Bodies Corporate	0	0	0	0	0	0	0	
		d	Banks / FIs	0	0	0	0	0	0	0	
		e	Any other (Specify)	0	0	0	0	0	0	0	
		Sub Total A(2)		0	0	0	0	0	0	0	
		Total shareholding of Promoter (A)= (A) (1) +(A)(2)		59,173,023	0	59,173,023	26.08	59,173,023	0	59,173,023	26.08
B		Public Shareholding									
	1	Institutions									
		a	Mutual Funds	8,250,559	28,245	8,278,804	3.65	7,865,154	28,195	7,893,349	3.48
		b	Financial Institutions / Banks	801,608	210	801,818	0.35	931,932	210	932,142	0.41
		c	Central Government	0	0	0	0	0	0	0	0

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Category of Shareholders			No. of shares held at the beginning of the year (01/04/2018)				No. of shares held at the end of the year (31/03/2019)				% Change during the year
			Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
d	State Governments	0	0	0	0	0	0	0	0	0	
e	Venture capital Funds	0	0	0	0	0	0	0	0	0	
f	Insurance Companies	0	0	0	0	0	0	0	0	0	
g	FII's	8,078	0	8,078	0.00	5,632	0	5,632	0.00	(0.00)	
h	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	
i	Any other (Specify) Foreign Portfolio Investor category 1 and 2	112,255,012	0	112,255,012	49.48	115,573,094	0	115,573,094	50.94	1.46	
	Alternate Investment Fund	34,006	0	34,006	0.01	0	0	0	0	(0.01)	
Sub Total B(1)		121,349,263	28,455	121,377,718	53.50	124,375,812	28,405	124,404,217	54.83	1.33	
2	Non-Institutions										
a	Bodies Corporate										
(i)	Indian	26,836,378	27,446	26,863,824	11.84	25,097,529	24,446	25,121,975	11.07	(0.77)	
(ii)	Overseas	0	0	0	0	0	0	0	0	0	
b	Individuals										
(i)	Individual Shareholders holding Nominal Share Capital upto Rs.1 Lakh	6,957,871	3,388,322	10,346,193	4.56	7,416,065	2,740,742	10,156,807	4.48	(0.08)	
(ii)	Individual Shareholders holding Nominal Share Capital in excess of Rs.1 Lakh	3,226,096	39,187	3,265,283	1.44	2,772,164	23,550	2,795,714	1.23	(0.21)	
c	Any other - Clearing Members	646,506	0	646,506	0.28	854,344	0	854,344	0.38	0.09	
	-Trusts	310,273	0	310,273	0.14	349,352	0	349,352	0.15	0.01	
	-NRI	288,826	5,550	294,376	0.13	331,986	4,500	336,486	0.15	0.02	
	- Limited Liability Partnership	38,464	0	38,464	0.02	61,831	0	61,831	0.03	0.01	
	- Foreign Portfolio Investor Category 3	3,623,854	0	3,623,854	1.60	2,311,978	0	2,311,978	1.02	(0.58)	
	- NBFC	1,836	0	1,836	0.00	307,909	0	307,909	0.14	0.14	
	- Unclaimed Securities Suspense A/c	102,351	0	102,351	0.05	88,570	0	88,570	0.04	(0.01)	
	- IEPF Authority	838,085	0	838,085	0.37	920,530	0	920,530	0.41	0.04	
	- Foreign National	950	0	950	0.00	0	0	0	0	(0.00)	
Sub Total B(2)		42,871,490	3,460,505	46,331,995	20.42	40,512,258	2,793,238	43,305,496	19.09	(1.33)	
Total Public Shareholding (B)= (B) (1)+(B)(2)		164,220,753	3,488,960	167,709,713	73.92	164,888,070	2,821,643	167,709,713	73.92	0	
C	Shares held by Custodians for GDRs and ADRs	0	0	0	0	0	0	0	0	0	
Grand Total (A) + (B) + (C)		223,393,776	3,488,960	226,882,736	100.00	224,061,093	2,821,643	226,882,736	100.00	0	

Notes -

- I. The Promoter Group as defined under Regulation 2(1)(t) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers Regulations, 2011, (SAST Regulations 2011): 1. Shriram Ownership Trust 2. Shriram Financial Ventures (Chennai) Pvt Limited 3 Shriram Life Insurance Company Limited 4. Shriram General Insurance Company Limited 5. Shriram Credit Company Limited 6. Shriram Asset Management Company Limited. 7. Shriram Overseas Investments Private Limited 8. Bharat Investments Pte. Limited, Singapore 9. Shriram City Union Finance Limited 10. Shriram Fortune Solutions Limited 11. Shriram Wealth Advisors Limited 12. Shriram Insight Share Brokers Limited 13. Shriram Financial Products Solutions (Chennai) Private Limited 14. Shriram Housing Finance Limited 15. Insight Commodities and Futures Private Limited 16. Shrikleha Business Consultancy Private Limited 17. Shriram Seva Sankalp Foundation 18. SGI Philippines General Insurance Co Inc. 19. Shriram Value Services Limited* 20. Novac Technology Solutions Private Limited** 21. Techfactory Services Private Limited**
- II. The Persons Acting in Concert (PAC), as defined in the SAST Regulations 2011 for the purpose of Regulation 10 of SAST Regulations, 2011: (i)Sanlam Emerging Markets (Mauritius) Limited, (ii)Shriram Mutual Fund (SMF), (iii)Mr. S Krishnamurthy (Trustee of SMF), (iv) Mr.S M Prabhakaran (Trustee of SMF), (v)Mr. V N Shivashankar (Trustee of SMF), (vi)Dr. Qudsia Gandhi (Trustee of SMF), (vii) Mr. Mani Sridhar (Trustee of SMF) and (viii) Sanlam Life Insurance Limited.

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ANNEXURE - II TO DIRECTOR REPORT

III. All the entities/persons mentioned in Note No. I and Note No. II are PACs for more than three years, except the entity at Sr. No. (19), (20) & (21) in Note No. I are PACs for less than three years

IV. None of the above-mentioned entities/persons in Note No. I and II hold any shares in the Company except the entity at Sr. No. (viii) in Note No. II.

* became subsidiary of Shriram Capital w.e.f. 28.02.2019.

**Novac Technology Solutions Private Limited and Techfactory Services Private Limited became step-down subsidiary of Shriram Capital Limited through Shriram Value Services Limited w.e.f.28.02.2019

ATTACHMENT (IV)

4. SHARE HOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY)

b) Shareholding of Promoters

Sr. No.	Shareholder's Name	Shareholding at the beginning of the Year (as on April 01, 2018)			Shareholding at the end of the year (as on March 31, 2019)			% change in share holding during the year (April 01, 2018 to March 31, 2019)
		No. of shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Shriram Capital Limited	59173023	26.08	N.A	59173023	26.08	N.A	0.00
Total		59173023	26.08	N.A	59173023	26.08	N.A	0.00

ATTACHMENT (V)

4. SHARE HOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY)

c) Change in Promoters' Shareholding (please specify, if there is no change)

Sr. No.	Particular	Shareholding at the beginning (April 01, 2018)		Date	Increase/ Decrease in shareholding	Reason	Cumulative Share holding during the year (April 01, 2018 to March 31, 2019)	
		No. of shares	% of total shares of the company				No. of shares	% of total Shares of the company
1	Shriram Capital Limited	No change in the Promoters' Shareholding during the Financial year 2018-19(i.e. April 01, 2018 to March 31, 2019).						

ATTACHMENT (VI)

4. SHARE HOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY)

d) Shareholding Pattern of top ten Shareholders (other than Directors Promoters and Holders of GDRs and ADRs):

Sr. No.	Name of Shareholder's	Shareholding at the beginning of the Year (as on April 01, 2018)		Changes during the year		Cumulative Shareholding during the year (March 31, 2019)	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	PIRAMAL ENTERPRISES LIMITED						
	Opening Balance as on 01/04/2018	22,600,000	9.96	0	0		
	Closing Balance as on 31/03/2019					22,600,000	9.96
2	SANLAM LIFE INSURANCE LIMITED						
	Opening Balance as on 01/04/2018	6,757,267	2.98				
	Closing Balance as on 31/03/2019					6,757,267	2.98
3	SOCIETE GENERALE						
	Opening Balance as on 01/04/2018	4,853,973	2.14				
	06/04/2018	0	0	(40,276)	(0.02)	4,813,697	2.12
	13/04/2018	0	0	(60,967)	(0.03)	4,752,730	2.10
	20/04/2018	0	0	(10,687)	(0.01)	4,742,043	2.09
	27/04/2018	0	0	(6,242)	(0.00)	4,735,801	2.09
	04/05/2018	0	0	104,412	0.05	4,840,213	2.13
	11/05/2018	0	0	192,046	0.09	5,032,259	2.22
	18/05/2018	0	0	164,413	0.07	5,196,672	2.29
	25/05/2018	0	0	63,575	0.03	5,260,247	2.32
	01/06/2018	0	0	(11,990)	(0.01)	5,248,257	2.31
	08/06/2018	0	0	(126,438)	(0.06)	5,121,819	2.26
	15/06/2018	0	0	(72,074)	(0.03)	5,049,745	2.23
	22/06/2018	0	0	(72,059)	(0.03)	4,977,686	2.19
	29/06/2018	0	0	(66,000)	(0.03)	4,911,686	2.17
	06/07/2018	0	0	(1,609,456)	(0.71)	3,302,230	1.46
	13/07/2018	0	0	(6,790)	(0.00)	3,295,440	1.45

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Sr. No.	Name of Shareholder's	Shareholding at the beginning of the Year (as on April 01, 2018)		Changes during the year		Cumulative Shareholding during the year (March 31, 2019)	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
	20/07/2018	0	0	95,350	0.04	3,390,790	1.50
	27/07/2018	0	0	(149,358)	(0.07)	3,241,432	1.43
	03/08/2018	0	0	(123,895)	(0.06)	3,117,537	1.37
	10/08/2018	0	0	(29,175)	(0.01)	3,088,362	1.36
	17/08/2018	0	0	(4,196)	(0.00)	3,084,166	1.36
	24/08/2018	0	0	110,675	0.05	3,194,841	1.41
	31/08/2018	0	0	7,569	0.00	3,202,410	1.41
	07/09/2018	0	0	64,982	0.03	3,267,392	1.44
	14/09/2018	0	0	49,733	0.02	3,317,125	1.46
	21/09/2018	0	0	(88,620)	(0.04)	3,228,505	1.42
	28/09/2018	0	0	(58,533)	(0.03)	3,169,972	1.40
	05/10/2018	0	0	13,209	0.01	3,183,181	1.40
	12/10/2018	0	0	(212,159)	(0.09)	2,971,022	1.31
	19/10/2018	0	0	114,285	0.05	3,085,307	1.36
	26/10/2018	0	0	(33,264)	(0.02)	3,052,043	1.35
	02/11/2018	0	0	(8,190)	(0.00)	3,043,853	1.34
	09/11/2018	0	0	(10,923)	(0.01)	3,032,930	1.34
	16/11/2018	0	0	2,272	0.00	3,035,202	1.34
	23/11/2018	0	0	(2,007)	(0.00)	3,033,195	1.34
	30/11/2018	0	0	20,787	0.01	3,053,982	1.35
	07/12/2018	0	0	(40,644)	(0.02)	3,013,338	1.33
	14/12/2018	0	0	(9,830)	(0.00)	3,003,508	1.32
	21/12/2018	0	0	196,760	0.09	3,200,268	1.41
	28/12/2018	0	0	1,562	0.00	3,201,830	1.41
	31/12/2018	0	0	673	0.00	3,202,503	1.41
	11/01/2019	0	0	17,192	0.01	3,219,695	1.42
	18/01/2019	0	0	29,179	0.01	3,248,874	1.43
	25/01/2019	0	0	131,684	0.06	3,380,558	1.49
	01/02/2019	0	0	16,035	0.01	3,396,593	1.50
	08/02/2019	0	0	(262,162)	(0.12)	3,134,431	1.38
	15/02/2019	0	0	(7,638)	(0.00)	3,126,793	1.38
	22/02/2019	0	0	(5,113)	(0.00)	3,121,680	1.38
	01/03/2019	0	0	(7,012)	(0.00)	3,114,668	1.37
	08/03/2019	0	0	(1,546)	(0.00)	3,113,122	1.37
	15/03/2019	0	0	(15,213)	(0.01)	3,097,909	1.37
	22/03/2019	0	0	8,688	0.00	3,106,597	1.37
	29/03/2019	0	0	509,366	0.23	3,615,963	1.59
	Closing Balance as on 31/03/2019					3,615,963	1.59
4	SBI Mutual Fund (Under different sub accounts)						
	Opening Balance as on 01/04/2018	3,992,645	1.76				
	06/04/2018	0	0	92	0.00	3,992,737	1.76
	20/04/2018	0	0	15,000	0.01	4,007,737	1.77
	04/05/2018	0	0	(22,000)	(0.01)	3,985,737	1.76
	11/05/2018	0	0	(51,535)	(0.02)	3,934,202	1.73
	18/05/2018	0	0	132	0.00	3,934,334	1.73
	25/05/2018	0	0	(18,300)	(0.01)	3,916,034	1.73
	01/06/2018	0	0	(7,289)	(0.00)	3,908,745	1.72
	08/06/2018	0	0	14,000	0.01	3,922,745	1.73
	15/06/2018	0	0	13,000	0.01	3,935,745	1.74
	22/06/2018	0	0	(84,437)	(0.04)	3,851,308	1.70
	29/06/2018	0	0	31	0.00	3,851,339	1.70
	06/07/2018	0	0	40,000	0.02	3,891,339	1.72
	13/07/2018	0	0	397,637	0.18	4,288,976	1.89
	20/07/2018	0	0	609,578	0.27	4,898,554	2.16
	27/07/2018	0	0	33,000	0.02	4,931,554	2.17
	03/08/2018	0	0	(119,998)	(0.05)	4,811,556	2.12
	10/08/2018	0	0	63	0.00	4,811,619	2.12

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Sr. No.	Name of Shareholder's	Shareholding at the beginning of the Year (as on April 01, 2018)		Changes during the year		Cumulative Shareholding during the year (March 31, 2019)	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
	24/08/2018	0	0	81,605	0.04	4,893,224	2.16
	31/08/2018	0	0	(200,068)	(0.09)	4,693,156	2.07
	07/09/2018	0	0	(1,065,673)	(0.47)	3,627,483	1.60
	14/09/2018	0	0	(383,663)	(0.17)	3,243,820	1.43
	21/09/2018	0	0	122	0.00	3,243,942	1.43
	28/09/2018	0	0	(648,257)	(0.29)	2,595,685	1.14
	05/10/2018	0	0	154	0.00	2,595,839	1.14
	12/10/2018	0	0	(176,395)	(0.08)	2,419,444	1.07
	19/10/2018	0	0	66	0.00	2,419,510	1.07
	26/10/2018	0	0	124	0.00	2,419,634	1.07
	02/11/2018	0	0	4,199	0.00	2,423,833	1.07
	09/11/2018	0	0	3	0.00	2,423,836	1.07
	16/11/2018	0	0	(14)	0.00	2,423,822	1.07
	30/11/2018	0	0	(825)	0.00	2,422,997	1.07
	14/12/2018	0	0	(588)	0.00	2,422,409	1.07
	28/12/2018	0	0	(4)	0.00	2,422,405	1.07
	04/01/2019	0	0	496	0.00	2,422,901	1.07
	18/01/2019	0	0	123	0.00	2,423,024	1.07
	01/02/2019	0	0	62	0.00	2,423,086	1.07
	08/02/2019	0	0	14,263	0.01	2,437,349	1.07
	15/02/2019	0	0	4,111	0.00	2,441,460	1.08
	22/02/2019	0	0	130	0.00	2,441,590	1.08
	01/03/2019	0	0	2,745	0.00	2,444,335	1.08
	08/03/2019	0	0	623	0.00	2,444,958	1.08
	15/03/2019	0	0	2,368	0.00	2,447,326	1.08
	22/03/2019	0	0	(133,223)	(0.06)	2,314,103	1.02
	29/03/2019	0	0	(3,474)	(0.00)	2,310,629	1.02
	Closing Balance as on 31/03/2019					2,310,629	1.02
5	ABU DHABI INVESTMENT AUTHORITY (Under different sub accounts)						
	Opening Balance as on 01/04/2018	3,949,264	1.74				
	06/04/2018	0	0	(5,823)	(0.00)	3,943,441	1.74
	20/04/2018	0	0	(96,900)	(0.04)	3,846,541	1.70
	27/04/2018	0	0	(223,100)	(0.10)	3,623,441	1.60
	11/05/2018	0	0	(1,219)	(0.00)	3,622,222	1.60
	01/06/2018	0	0	(10,791)	(0.01)	3,611,431	1.59
	29/06/2018	0	0	124,805	0.06	3,736,236	1.65
	06/07/2018	0	0	31,201	0.01	3,767,437	1.66
	27/07/2018	0	0	(250,000)	(0.11)	3,517,437	1.55
	03/08/2018	0	0	354,163	0.16	3,871,600	1.71
	10/08/2018	0	0	92,140	0.04	3,963,740	1.75
	17/08/2018	0	0	72,930	0.03	4,036,670	1.78
	24/08/2018	0	0	10,209	0.01	4,046,879	1.78
	31/08/2018	0	0	9,859	0.00	4,056,738	1.79
	19/10/2018	0	0	48,278	0.02	4,105,016	1.81
	26/10/2018	0	0	269,039	0.12	4,374,055	1.93
	16/11/2018	0	0	3,027	0.00	4,377,082	1.93
	30/11/2018	0	0	(182,744)	(0.08)	4,194,338	1.85
	07/12/2018	0	0	(57,256)	(0.03)	4,137,082	1.82
	28/12/2018	0	0	(95,000)	(0.04)	4,042,082	1.78
	18/01/2019	0	0	(231,916)	(0.10)	3,810,166	1.68
	25/01/2019	0	0	(48,084)	(0.02)	3,762,082	1.66
	01/02/2019	0	0	(156,774)	(0.07)	3,605,308	1.59
	08/02/2019	0	0	(63,226)	(0.03)	3,542,082	1.56
	15/02/2019	0	0	(140,000)	(0.06)	3,402,082	1.50
	22/02/2019	0	0	95,000	0.04	3,497,082	1.54
	29/03/2019	0	0	(126,564)	(0.06)	3,370,518	1.49

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EXTRACT OF ANNUAL RETURN (Contd.)

Sr. No.	Name of Shareholder's	Shareholding at the beginning of the Year (as on April 01, 2018)		Changes during the year		Cumulative Shareholding during the year (March 31, 2019)	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
	Closing Balance as on 31/03/2019					3,370,518	1.49
6	NEW WORLD FUND INC						
	Opening Balance as on 01/04/2018	3,540,000	1.56				
	13/04/2018	0	0	(297,000)	(0.13)	3,243,000	1.43
	20/07/2018	0	0	1,050,000	0.46	4,293,000	1.89
	31/08/2018	0	0	(548,172)	(0.24)	3,744,828	1.65
	07/09/2018	0	0	(494,828)	(0.22)	3,250,000	1.43
	01/03/2019	0	0	332,864	0.15	3,582,864	1.58
	08/03/2019	0	0	414,438	0.18	3,997,302	1.76
	29/03/2019	0	0	291,848	0.13	4,289,150	1.89
	Closing Balance as on 31/03/2019					4,289,150	1.89
7	OPPENHEIMER INTERNATIONAL SMALL-MID COMPANY FUND						
	Opening Balance as on 01/04/2018	3,074,062	1.36				
	05/10/2018	0	0	(1,784,782)	(0.79)	1,289,280	0.57
	12/10/2018	0	0	(1,289,280)	(0.57)	0	0.00
	Closing Balance as on 31/03/2019					0	0.00
8	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS						
	Opening Balance as on 01/04/2018	2,907,825	1.28				
	04/05/2018	0	0	(5,580)	(0.00)	2,902,245	1.28
	11/05/2018	0	0	(5,301)	(0.00)	2,896,944	1.28
	01/06/2018	0	0	(4,185)	(0.00)	2,892,759	1.28
	15/06/2018	0	0	(4,185)	(0.00)	2,888,574	1.27
	22/06/2018	0	0	(59,268)	(0.03)	2,829,306	1.25
	29/06/2018	0	0	(69,834)	(0.03)	2,759,472	1.22
	06/07/2018	0	0	(6,993)	(0.00)	2,752,479	1.21
	13/07/2018	0	0	(93,254)	(0.04)	2,659,225	1.17
	16/11/2018	0	0	4,230	0.00	2,663,455	1.17
	23/11/2018	0	0	10,998	0.01	2,674,453	1.18
	07/12/2018	0	0	5,358	0.00	2,679,811	1.18
	21/12/2018	0	0	15,228	0.01	2,695,039	1.19
	28/12/2018	0	0	(62,779)	(0.03)	2,632,260	1.16
	01/02/2019	0	0	15,718	0.01	2,647,978	1.17
	08/02/2019	0	0	50,135	0.02	2,698,113	1.19
	29/03/2019	0	0	6,233	0.00	2,704,346	1.19
	Closing Balance as on 31/03/2019					2,704,346	1.19
9	STICHTING DEPOSITARY APG EMERGING MARKETS EQUITY POOL						
	Opening Balance as on 01/04/2018	2,736,930	1.21				
	06/04/2018	0	0	(158,425)	(0.07)	2,578,505	1.14
	04/05/2018	0	0	56,083	0.03	2,634,588	1.16
	22/06/2018	0	0	71,855	0.03	2,706,443	1.19
	29/06/2018	0	0	11,490	0.01	2,717,933	1.20
	13/07/2018	0	0	(759)	0.00	2,717,174	1.20
	27/07/2018	0	0	(33,786)	(0.02)	2,683,388	1.18
	24/08/2018	0	0	46,998	0.02	2,730,386	1.20
	07/09/2018	0	0	113,201	0.05	2,843,587	1.25
	21/09/2018	0	0	98,788	0.04	2,942,375	1.30
	05/10/2018	0	0	2,867	0.00	2,945,242	1.30
	01/02/2019	0	0	(618,890)	(0.27)	2,326,352	1.03
	15/03/2019	0	0	39,168	0.02	2,365,520	1.04
	Closing Balance as on 31/03/2019					2,365,520	1.04
10	GOVERNMENT OF SINGAPORE						
	Opening Balance as on 01/04/2018	2,318,914	1.02				
	06/04/2018	0	0	1,677	0.00	2,320,591	1.02
	20/04/2018	0	0	(22,836)	(0.01)	2,297,755	1.01
	27/04/2018	0	0	(869)	0.00	2,296,886	1.01
	04/05/2018	0	0	(1,522)	(0.00)	2,295,364	1.01
	11/05/2018	0	0	5,003	0.00	2,300,367	1.01

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EXTRACT OF ANNUAL RETURN (Contd.)

ANNEXURE - II TO DIRECTOR REPORT

Sr. No.	Name of Shareholder's	Shareholding at the beginning of the Year (as on April 01, 2018)		Changes during the year		Cumulative Shareholding during the year (March 31, 2019)	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
	18/05/2018	0	0	(107,236)	(0.05)	2,193,131	0.97
	25/05/2018	0	0	(894)	0.00	2,192,237	0.97
	01/06/2018	0	0	41,551	0.02	2,233,788	0.99
	08/06/2018	0	0	83,286	0.04	2,317,074	1.02
	15/06/2018	0	0	65,594	0.03	2,382,668	1.05
	22/06/2018	0	0	12,636	0.01	2,395,304	1.06
	29/06/2018	0	0	(132,136)	(0.06)	2,263,168	1.00
	20/07/2018	0	0	(154,392)	(0.07)	2,108,776	0.93
	27/07/2018	0	0	(1,156)	(0.00)	2,107,620	0.93
	03/08/2018	0	0	(1,493)	(0.00)	2,106,127	0.93
	10/08/2018	0	0	(17,821)	(0.01)	2,088,306	0.92
	24/08/2018	0	0	37,894	0.02	2,126,200	0.94
	31/08/2018	0	0	82,845	0.04	2,209,045	0.97
	07/09/2018	0	0	52,552	0.02	2,261,597	1.00
	14/09/2018	0	0	52,514	0.02	2,314,111	1.02
	21/09/2018	0	0	30,279	0.01	2,344,390	1.03
	12/10/2018	0	0	(2,434)	(0.00)	2,341,956	1.03
	19/10/2018	0	0	7,735	0.00	2,349,691	1.04
	02/11/2018	0	0	4,976	0.00	2,354,667	1.04
	16/11/2018	0	0	(5,003)	(0.00)	2,349,664	1.04
	23/11/2018	0	0	17,361	0.01	2,367,025	1.04
	30/11/2018	0	0	85,462	0.04	2,452,487	1.08
	07/12/2018	0	0	(6,380)	(0.00)	2,446,107	1.08
	14/12/2018	0	0	(40)	0.00	2,446,067	1.08
	21/12/2018	0	0	148,317	0.07	2,594,384	1.14
	28/12/2018	0	0	(1,262)	(0.00)	2,593,122	1.14
	04/01/2019	0	0	14,802	0.01	2,607,924	1.15
	25/01/2019	0	0	(8,120)	(0.00)	2,599,804	1.15
	01/02/2019	0	0	(127,314)	(0.06)	2,472,490	1.09
	08/02/2019	0	0	21,234	0.01	2,493,724	1.10
	22/02/2019	0	0	4,752	0.00	2,498,476	1.10
	01/03/2019	0	0	(68,798)	(0.03)	2,429,678	1.07
	08/03/2019	0	0	(48,091)	(0.02)	2,381,587	1.05
	15/03/2019	0	0	299,515	0.13	2,681,102	1.18
	22/03/2019	0	0	236,772	0.10	2,917,874	1.29
	Closing Balance as on 31/03/2019					2,917,874	1.29
11	GOVERNMENT PENSION FUND GLOBAL						
	Opening Balance as on 01/04/2018	561,117	0.25				
	20/04/2018	0	0	52,548	0.02	613,665	0.27
	27/04/2018	0	0	29,473	0.01	643,138	0.28
	28/09/2018	0	0	639,699	0.28	1,282,837	0.57
	05/10/2018	0	0	756,135	0.33	2,038,972	0.90
	12/10/2018	0	0	713,571	0.32	2,752,543	1.21
	02/11/2018	0	0	146,336	0.06	2,898,879	1.28
	14/12/2018	0	0	38,558	0.02	2,937,437	1.30
	11/01/2019	0	0	121,521	0.05	3,058,958	1.35
	18/01/2019	0	0	59,464	0.03	3,118,422	1.37
	25/01/2019	0	0	123,540	0.05	3,241,962	1.43
	01/02/2019	0	0	233,961	0.10	3,475,923	1.53
	08/02/2019	0	0	161,316	0.07	3,637,239	1.60
	15/02/2019	0	0	191,088	0.08	3,828,327	1.69
	01/03/2019	0	0	54,100	0.02	3,882,427	1.71
	08/03/2019	0	0	81,285	0.04	3,963,712	1.75
	15/03/2019	0	0	2,466	0.00	3,966,178	1.75
	22/03/2019	0	0	7,526	0.00	3,973,704	1.75
	29/03/2019	0	0	119,488	0.05	4,093,192	1.80

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EXTRACT OF ANNUAL RETURN (Contd.)

Sr. No.	Name of Shareholder's	Shareholding at the beginning of the Year (as on April 01, 2018)		Changes during the year		Cumulative Shareholding during the year (March 31, 2019)	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
	Closing Balance as on 31/03/2019					4,093,192	1.80
12	SMALLCAP WORLD FUND, INC						
	Opening Balance as on 01/04/2018	2,245,000	0.99				
	27/07/2018	0	0	272,442	0.12	2,517,442	1.11
	03/08/2018	0	0	124,491	0.06	2,641,933	1.16
	10/08/2018	0	0	57,576	0.03	2,699,509	1.19
	17/08/2018	0	0	31,491	0.01	2,731,000	1.20
	08/02/2019	0	0	351,454	0.16	3,082,454	1.36
	22/02/2019	0	0	60,956	0.03	3,143,410	1.39
	08/03/2019	0	0	56,359	0.03	3,199,769	1.41
	22/03/2019	0	0	(29,214)	(0.01)	3,170,555	1.40
	29/03/2019	0	0	8,505	0.00	3,179,060	1.40
	Closing Balance as on 31/03/2019					3,179,060	1.40
13	GENERATION IM FUND PLC-GENERATION IM ASIA FUND						
	Opening Balance as on 01/04/2018	1,032,703	0.46				
	06/04/2018	0	0	69,462	0.03	1,102,165	0.49
	04/05/2018	0	0	(157,677)	(0.07)	944,488	0.42
	11/05/2018	0	0	(95,376)	(0.04)	849,112	0.37
	06/07/2018	0	0	34,061	0.02	883,173	0.39
	13/07/2018	0	0	285,920	0.13	1,169,093	0.52
	27/07/2018	0	0	186,869	0.08	1,355,962	0.60
	03/08/2018	0	0	5,494	0.00	1,361,456	0.60
	14/09/2018	0	0	144,149	0.06	1,505,605	0.66
	21/09/2018	0	0	305,474	0.14	1,811,079	0.80
	28/09/2018	0	0	176,039	0.08	1,987,118	0.88
	05/10/2018	0	0	155,747	0.07	2,142,865	0.94
	12/10/2018	0	0	344,812	0.15	2,487,677	1.10
	19/10/2018	0	0	74,721	0.03	2,562,398	1.13
	26/10/2018	0	0	4,769	0.00	2,567,167	1.13
	14/12/2018	0	0	56,703	0.03	2,623,870	1.16
	04/01/2019	0	0	79,133	0.04	2,703,003	1.19
	01/02/2019	0	0	177,257	0.08	2,880,260	1.27
	08/02/2019	0	0	169,339	0.08	3,049,599	1.34
	15/03/2019	0	0	(149,000)	(0.07)	2,900,599	1.28
	Closing Balance as on 31/03/2019					2,900,599	1.28

ATTACHMENT (VII)

4. SHARE HOLDING PATTERN (EQUITY SHARE CAPITAL BREAKUP AS PERCENTAGE OF TOTAL EQUITY)

e) Shareholding of Directors and Key Managerial Personnel:

Sr. No.	Name	Shareholding at the beginning of the year (April 01, 2018)		Changes during the year		Cumulative Shareholding during the year (March 31, 2019)	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	(*)Mr. Sumatiprasad Mishrilal Bafna						
	PAN :AABPB5180H						
	Opening Balance as on April 01, 2018	1200	0.001	0	0		
	Closing Balance as on March 31, 2019	0	0	0	0	1,200	0.001
2	Mr. Parag Sharma						
	PAN :ABDPS9129C						
	Opening Balance as on April 01, 2018	41,000	0.02	0	0		
	Closing Balance as on March 31, 2019	0	0	0	0	41,000	0.02

Note- * Mr. S. M. Bafna ceased to be Director of the Company from April 01, 2019.

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EXTRACT OF ANNUAL RETURN (Contd.)

ANNEXURE - II TO DIRECTOR REPORT

ATTACHMENT (VIII)

5. INDEBTENDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment as on March 31, 2019

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	(Rs. in lacs)
Indebtedness at the beginning of the financial year				Total Indebtedness
i) Principal Amount	6,290,153.82	909,502.96	801,766.05	8,001,422.83
ii) Interest due but not paid(unclaimed)	1,343.83	861.45	3,024.08	5,229.37
iii) Interest accrued but not due	115,007.97	41,530.36	55,123.53	211,661.86
Total (i+ii+iii)	6,406,505.62	951,894.77	859,913.66	8,218,314.06
Change in Indebtedness during the financial year				
• Addition	4,094,866.13	2,777,057.39	500,897.61	7,372,821.13
• Reduction	3,758,437.47	2,709,914.15	326,728.34	6,795,079.96
Net Change	336,428.66	67,143.24	174,169.27	577,741.17
Indebtedness at the end of the financial year				
i) Principal Amount	6,607,643.30	979,786.46	972,147.50	8,559,577.25
ii) Interest due but not paid(unclaimed)	692.09	1,349.02	2,574.44	4,615.56
iii) Interest accrued but not due	134,598.89	37,902.53	59,361.00	231,862.42
Total (i+ii+iii)	6,742,934.28	1,019,038.01	1,034,082.93	8,796,055.23

Note - Addition and reduction includes Interest

ATTACHMENT (IX)

6. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

a) Remuneration to Managing Director, Whole-time Directors and/or Manager

Sr. No.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount (in Rs.)
		*Umesh Revankar Managing Director and CEO		
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961		5,010,270	5,01,0270
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961		683,898	683,898
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961		0	0
2	Stock Option		0	0
3	Sweat Equity		0	0
4	Commission		0	0
	- as % of profit		0	0
	- others, specify...		0	0
5	Others, please specify			
	PF Contribution		547,116	547,116
	NPS Contribution		455,928	455,928
	Total (A)		6,697,212	6,697,212

Note-

*The Ceiling on remuneration of Managing Director and CEO as per Section 197 of the Companies Act, 2013 (the Act) is Rs. 1,889,394,250 i.e. 5% of the net profit computed as per Section 198 of the Act. Save and except the Managing Director no Whole-time Director or Manager is appointed.

ATTACHMENT (X)

b) Remuneration to Other Directors:

Sr. No.	Particulars of Remuneration	Particulars of Remuneration			Total Amount (in Rs.)
		Fee for attending board committee meetings	Commission	Others, please specify	
1	Independent Directors				
	Mr. S Lakshminarayanan	440,000	750,000	Nil	1,190,000
	Mr. S M Bafna	365,000	750,000	Nil	1,115,000
	Mrs. Kishori Udeshi	465,000	750,000	Nil	1,215,000
	Mr. Amitabh Chaudhry (upto October 25, 2018)	275,000	427,400	Nil	702,400
	Mr. Sridhar Srinivasan	440,000	750,000	Nil	1,190,000
	Mr. Pradeep Kumar Panja (w.e.f. October 25, 2018)	165,000	322,600	Nil	487,600
	Total (1)	2,150,000	3,750,000	Nil	5,900,000

Shriram Transport Finance Company Limited

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EXTRACT OF ANNUAL RETURN (Contd.)

Sr. No.	Particulars of Remuneration	Particulars of Remuneration			Total Amount (in Rs.)
		Fee for attending board committee meetings	Commission	Others, please specify	
2	Other Non-Executive Directors				
	Mr. D V Ravi	Nil	Nil	Nil	Nil
	Mr. Puneet Bhatia	Nil	Nil	Nil	Nil
	Mr. Gerrit Lodewyk Van Heerde	Nil	Nil	Nil	Nil
	Total (2)	Nil	Nil	Nil	Nil
	Total (B)=(1+2)	Nil	Nil	Nil	Nil
	Total Managerial Remuneration	2,150,000	3,750,000	Nil	5,900,000

Note-

The ceiling on remuneration to Directors other than Managing Director, Whole-time Directors and/or Manager is Rs. 377,878,850 i.e. 1% of the net profits of the Company calculated as per Section 198 of the Act..

ATTACHMENT (XI)

c) REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD / MANAGER / WTD.

Sr. no.	Particulars of Remuneration	Key Managerial Personnel		Total
		Company Secretary	Executive Director & CFO	
		Vivek M. Achwal	Parag Sharma	
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	4387,974	532,9271	9,717,245
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	0	0	0
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	0	0	0
2	Stock Option	0	0	0
3	Sweat Equity	0	0	0
4	Commission	0	0	0
	- as % of profit	0	0	0
	- others, specify...	0	0	0
5	Others, please specify	0	0	0
	PF Contribution	144,000	288,000	432,000
	NPS Contribution	120,000	240,000	360,000
	Total	4,651,974	5,857,271	10,509,245

ATTACHMENT(XII)

7. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
B. DIRECTORS					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil
C. OTHER OFFICERS IN DEFAULT					
Penalty	Nil	Nil	Nil	Nil	Nil
Punishment	Nil	Nil	Nil	Nil	Nil
Compounding	Nil	Nil	Nil	Nil	Nil

For and on behalf of the Board of Directors

S. Lakshminarayanan

Chairman

(DIN: 02808698)

Mumbai

May 08, 2019