

BSE LTD

ACKNOWLEDGEMENT

Acknowledgement No	: 1704201904101531	Date & Time : 17/04/2019 04:10:15 PM
Scrip Code	: 511218	
Entity Name	: Shriram Transport Finance Company Limited	
Compliance Type	: Regulation 31 - Shareholding pattern	
Quarter / Period	: 31/03/2019	
Mode	: E-Filing	

From: neaps@nse.co.in [mailto:neaps@nse.co.in]

Sent: Wednesday, April 17, 2019 4:08 PM

To: mathew.c@stfc.in; vivekmadhukar.a@stfc.in

Subject: Submitted SHP for the quarter/Period ended 31-Mar-2019 of Received

Dear Sir/Madam.

Thanks for submitting the Shareholding Pattern for quarter/Period ended 31-Mar-2019. Kindly note that the submissions received vide NEAPS shall be treated as final submissions and physical copies may be submitted only on request from the Exchange . We will look into the same and revert after further processing.

Regards,

National Stock Exchange of India Limited.

General information about company	
Scrip code	511218
NSE Symbol	SRTRANSFIN
MSEI Symbol	NOTLISTED
ISIN	INE721A01013
Name of the company	Shriram Transport Finance Company Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	59173023			59173023	26.08	59173023		59173023	26.08
(B)	Public	51575	167709713			167709713	73.92	167709713		167709713	73.92
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	51576	226882736			226882736	100	226882736		226882736	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				26.08					59173023
(B)	Public				73.92					164888070
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					224061093

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights			
								Class eg: X	Class eg: y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	59173023			59173023	26.08	59173023		59173023	26.08
Sub-Total (A)(1)		1	59173023			59173023	26.08	59173023		59173023	26.08
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	59173023			59173023	26.08	59173023		59173023	26.08
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	26	7893349			7893349	3.48	7893349		7893349	3.48
(e)	Foreign Portfolio Investors	527	115573094			115573094	50.94	115573094		115573094	50.94
(f)	Financial Institutions/ Banks	7	932142			932142	0.41	932142		932142	0.41
Sub-Total (B)(1)		560	124398585			124398585	54.83	124398585		124398585	54.83
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	49083	10462819			10462819	4.61	10462819		10462819	4.61
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	25	2489702			2489702	1.1	2489702		2489702	1.1
(b)	NBFCs registered with RBI	8	307909			307909	0.14	307909		307909	0.14
(e)	Any Other (specify)	1899	30050698			30050698	13.25	30050698		30050698	13.25
Sub-Total (B)(3)		51015	43311128			43311128	19.09	43311128		43311128	19.09
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		51575	167709713			167709713	73.92	167709713		167709713	73.92
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										

Total (A+B+C2)		51576	226882736			226882736	100	226882736		226882736	100
Total (A+B+C)		51576	226882736			226882736	100	226882736		226882736	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				26.08					59173023
Sub-Total (A) (1)				26.08					59173023
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				26.08					59173023
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				3.48					7865154
(e)				50.94					115573094
(f)				0.41					931932
Sub-Total (B) (1)				54.83					124370180
(3)	Non-institutions								
(a(i))				4.61					7698527
(a(ii))				1.1					2489702
(b)				0.14					307909
(e)				13.25					30021752
Sub-Total (B) (3)				19.09					40517890
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				73.92					164888070
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					224061093
Total (A+B+C)				100					224061093
Disclosure of notes on shareholding pattern								Textual Information (1)	

Text Block

Textual Information()

I. The Promoter Group as defined under Regulation 2(1)(t) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers Regulations, 2011, (SAST Regulations 2011): 1. Shriram Ownership Trust 2. Shriram Financial Ventures (Chennai) Pvt Limited 3 Shriram Life Insurance Company Limited 4. Shriram General Insurance Company Limited 5. Shriram Credit Company Limited 6. Shriram Asset Management Company Limited. 7. Shriram Overseas Investments Private Limited 8. Bharat Investments Pte. Limited, Singapore 9. Shriram City Union Finance Limited 10. Shriram Fortune Solutions Limited 11. Shriram Wealth Advisors Limited 12. Shriram Insight Share Brokers Limited 13. Shriram Financial Products Solutions (Chennai) Private Limited 14. Shriram Housing Finance Limited 15. Insight Commodities and Futures Private Limited 16. Shreleka Business Consultancy Private Limited 17. Shriram Seva Sankalp Foundation 18. SGI Philippines General Insurance Co Inc. 19. Shriram Value Services Limited* 20. Novae Technology Solutions Private Limited** 21. Techfactory Services Private Limited** II. The Persons Acting in Concert (PAC), as defined in the SAST Regulations 2011 for the purpose of Regulation 10 of SAST Regulations, 2011: (i)Sanlam Emerging Markets (Mauritius) Limited, (ii)Shriram Mutual Fund (SMF), (iii)Mr. S Krishnamurthy (Trustee of SMF), (iv)Mr.S M Prabhakaran (Trustee of SMF), (v)Mr. V N Shivashankar (Trustee of SMF), (vi)Dr. Qudsia Gandhi (Trustee of SMF), (vii) Mr. Mani Sridhar (Trustee of SMF) and (viii) Sanlam Life Insurance Limited. III. All the entities/persons mentioned in Note No. I and Note No. II are PACs for more than three years, except the entity at Sr. No. (19), (20) & (21) in Note No. I are PACs for less than three years. IV. None of the above-mentioned entities/persons in Note No. I and II hold any shares in the Company except the entity at Sr. No. (viii) in Note No. II. Note: * became subsidiary of Shriram Capital w.e.f 28.2.2019 **Novae Technology Solutions Private Limited and Techfactory Services Private Limited became step-down subsidiary of Shriram Capital Limited through Shriram Value Services Limited w.e.f 28.2.2019

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SHRIRAM CAPITAL LIMITED	
PAN (II)	AABCS2726B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	59173023	59173023
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	59173023	59173023
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	26.08	26.08
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	59173023	59173023
Class eg:y		
Total	59173023	59173023
Total as a % of Total Voting rights	26.08	26.08
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	26.08	26.08
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		

Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	59173023	59173023
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Mutual Funds		
Serial No.	1	
Name of the Shareholders (I)	SBI MUTUAL FUND (Under Different sub accounts)	Click here to go back
PAN (II)	AABTS6407Q	Total
No. of fully paid up equity shares held (IV)	2310629	2310629
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2310629	2310629
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.02	1.02
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	2310629	2310629
Class eg:y		
Total	2310629	2310629
Total as a % of Total Voting rights	1.02	1.02
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.02	1.02
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	2310629	2310629
Reason for not providing PAN		

Reason for not providing PAN		
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As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	6757267	4289150	4093192	3615963	3370518	3179060	2917874
Reason for not providing PAN							
Reason for not providing PAN							

Foreign Portfolio Investors						
Serial No.	8	9	10	11	12	13
Name of the Shareholders (I)	GENERATION IM FUND PLC- GENERATION IM ASIA FUND	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL E	INVESTEC GLOBAL STRATEGY FUND - ASIAN EQUITY FUND	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	FIDELITY INVESTMENT TRUST FIDELITY SERIES EMERGING MARKETS OPPORTUNITIES FUND	STICHTING DEPOSITARY APG EMERGING MARKETS EQUITY POOL
PAN (II)	AAECG1362L	AAATY0918K	AABC16049R	AABTV0442N	AAATF2631A	AAVCS6547E
No. of fully paid up equity shares held (IV)	2900599	2704346	2702212	2611567	2397700	2365520
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2900599	2704346	2702212	2611567	2397700	2365520
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.28	1.19	1.19	1.15	1.06	1.04
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	2900599	2704346	2702212	2611567	2397700	2365520
Class eg:y						
Total	2900599	2704346	2702212	2611567	2397700	2365520
Total as a % of Total Voting rights	1.28	1.19	1.19	1.15	1.06	1.04
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.28	1.19	1.19	1.15	1.06	1.04
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						

Number of equity shares held in dematerialized form (XIV)	2900599	2704346	2702212	2611567	2397700	2365520
Reason for not providing PAN						
Reason for not providing PAN						

Foreign Portfolio Investors	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	43904968
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	43904968
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.35
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	43904968
Class eg:y	
Total	43904968
Total as a % of Total Voting rights	19.35
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	19.35
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	43904968
Reason for not providing PAN	

Reason for not providing PAN	
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No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	854344	25097529	61831	5632	2311978	920530	349352
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)				
Serial No.	8	9	10	
Category	Unclaimed or Suspense or Escrow Account	Non-Resident Indian (NRI)	Bodies Corporate	
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)			PIRAMAL ENTERPRISES LIMITED	Click here to go back
PAN (II)			AAACN4538P	Total
No. of the Shareholders (I)	1	1140	1	1461
No. of fully paid up equity shares held (IV)	88570	336486	22600000	30050698
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	88570	336486	22600000	30050698
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.04	0.15	9.96	13.25
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	88570	336486	22600000	30050698
Class eg:y				
Total	88570	336486	22600000	30050698
Total as a % of Total Voting rights	0.04	0.15	9.96	13.25
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.04	0.15	9.96	13.25
Number of Locked in shares (XII)				
No. (a)				

As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	88570	331986	22600000	30021752
Reason for not providing PAN				
Reason for not providing PAN				

Details of Shares which remain unclaimed for Public

Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
1	277	88570	88570	