

BSE LTD
ACKNOWLEDGEMENT

Acknowledgement No	: 2007201902072431	Date & Time	: 20/07/2019 02:07:24 PM
Scrip Code	: 511218		
Entity Name	: Shriram Transport Finance Company Limited		
Compliance Type	: Regulation 31 - Shareholding pattern		
Quarter / Period	: 30/06/2019		
Mode	: E-Filing		

General information about company	
Scrip code	511218
NSE Symbol	SRTRANSFIN
MSEI Symbol	NOTLISTED
ISIN	INE721A01013
Name of the company	Shriram Transport Finance Company Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	59173023			59173023	26.08	59173023		59173023	26.08
(B)	Public	54926	167709713			167709713	73.92	167709713		167709713	73.92
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	54927	226882736			226882736	100	226882736		226882736	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				26.08					59173023
(B)	Public				73.92					165022634
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					224195657

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	59173023			59173023	26.08	59173023		59173023	26.08
Sub-Total (A)(1)		1	59173023			59173023	26.08	59173023		59173023	26.08
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	59173023			59173023	26.08	59173023		59173023	26.08
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	26	17530628			17530628	7.73	17530628		17530628	7.73
(c)	Alternate Investment Funds	1	1000			1000	0	1000		1000	0
(e)	Foreign Portfolio Investors	569	127952059			127952059	56.4	127952059		127952059	56.4
(f)	Financial Institutions/ Banks	8	838563			838563	0.37	838563		838563	0.37
Sub-Total (B)(1)		604	146322250			146322250	64.49	146322250		146322250	64.49
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	52362	10562512			10562512	4.66	10562512		10562512	4.66
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	26	2536147			2536147	1.12	2536147		2536147	1.12
(b)	NBFCs registered with RBI	8	3064			3064	0	3064		3064	0
(e)	Any Other (specify)	1926	8285740			8285740	3.65	8285740		8285740	3.65
Sub-Total (B)(3)		54322	21387463			21387463	9.43	21387463		21387463	9.43
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		54926	167709713			167709713	73.92	167709713		167709713	73.92
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										

Total (A+B+C2)		54927	226882736			226882736	100	226882736		226882736	100
Total (A+B+C)		54927	226882736			226882736	100	226882736		226882736	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				26.08					59173023
Sub-Total (A) (1)				26.08					59173023
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				26.08					59173023
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				7.73					17502433
(c)				0					1000
(e)				56.4					127952059
(f)				0.37					838353
Sub-Total (B) (1)				64.49					146293845
(3)	Non-institutions								
(a(i))				4.66					7932784
(a(ii))				1.12					2536147
(b)				0					3064
(e)				3.65					8256794
Sub-Total (B) (3)				9.43					18728789
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				73.92					165022634
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					224195657
Total (A+B+C)				100					224195657
Disclosure of notes on shareholding pattern								Textual Information(1)	

Text Block	
Textual Information()	<p>I. The Promoter Group as defined under Regulation 2(1)(t) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers Regulations, 2011, (SAST Regulations 2011): 1. Shriram Ownership Trust 2. Shriram Financial Ventures (Chennai) Pvt Limited 3 Shriram Life Insurance Company Limited 4. Shriram General Insurance Company Limited 5. Shriram Credit Company Limited 6. Shriram Asset Management Company Limited. 7. Shriram Overseas Investments Private Limited 8. Bharat Investments Pte. Limited, Singapore 9. Shriram City Union Finance Limited 10. Shriram Fortune Solutions Limited 11. Shriram Wealth Advisors Limited 12. Shriram Insight Share Brokers Limited 13. Shriram Financial Products Solutions (Chennai) Private Limited 14. Shriram Housing Finance Limited 15. Insight Commodities and Futures Private Limited 16. Shreleka Business Consultancy Private Limited 17. Shriram Seva Sankalp Foundation 18. SGI Philippines General Insurance Co Inc. 19. Shriram Value Services Limited* 20. Novae Technology Solutions Private Limited** 21. Techfactory Services Private Limited** II. The Persons Acting in Concert (PAC), as defined in the SAST Regulations 2011 for the purpose of Regulation 10 of SAST Regulations, 2011: (i)Sanlam Emerging Markets (Mauritius) Limited, (ii)Shriram Mutual Fund (SMF), (iii)Mr. S Krishnamurthy (Trustee of SMF), (iv)Mr.S M Prabhakaran (Trustee of SMF), (v)Mr. V N Shivashankar (Trustee of SMF), (vi)Dr. Qudsia Gandhi (Trustee of SMF), (vii) Mr. Mani Sridhar (Trustee of SMF) and (viii) Sanlam Life Insurance Limited. III. All the entities/persons mentioned in Note No. I and Note No. II are PACs for more than three years, except the entity at Sr. No. (19), (20) & (21) in Note No. I are PACs for less than three years. IV. None of the above-mentioned entities/persons in Note No. I and II hold any shares in the Company except the entity at Sr. No. (viii) in Note No. II. Note: * became subsidiary of Shriram Capital Limited w.e.f 28.2.2019 **Novae Technology Solutions Private Limited and Techfactory Services Private Limited became step-down subsidiary of Shriram Capital Limited through Shriram Value Services Limited w.e.f 28.2.2019</p>

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SHRIRAM CAPITAL LIMITED	
PAN (II)	AABCS2726B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	59173023	59173023
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	59173023	59173023
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	26.08	26.08
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	59173023	59173023
Class eg: y		
Total	59173023	59173023
Total as a % of Total Voting rights	26.08	26.08
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	26.08	26.08
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		

Number of equity shares held in dematerialized form (XIV)	59173023	59173023
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type		

Mutual Funds			
Serial No.	1	2	
Name of the Shareholders (I)	HDFC TRUSTEE COMPANY LTD (Under different sub accounts)	SBI MUTUAL FUND (Under different sub accounts)	Click here to go back
PAN (II)	AAATH1809A	AABTS6407Q	Total
No. of fully paid up equity shares held (IV)	7418568	4475717	11894285
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	7418568	4475717	11894285
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.27	1.97	5.24
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	7418568	4475717	11894285
Class eg:y			
Total	7418568	4475717	11894285
Total as a % of Total Voting rights	3.27	1.97	5.24
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.27	1.97	5.24
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	7418568	4475717	11894285
Reason for not providing PAN			
Reason for not providing PAN			

Foreign Portfolio Investors							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	SANLAM LIFE INSURANCE LIMITED	NEW WORLD FUND INC	GOVERNMENT PENSION FUND GLOBAL	INVESTEC GLOBAL STRATEGY FUND - ASIAN EQUITY FUND	ABU DHABI INVESTMENT AUTHORITY - (Under different sub accounts)	FIDELITY INVESTMENT TRUST FIDELITY SERIES EMERGING MARKETS OPPORTUNITIES FUND	PLATINUM ASIA FUND
PAN (II)	AAJCS8461R	AABCN3163H	AACCN1454E	AABCI6049R	AAACA4380N	AAATF2631A	AAATP8273E
No. of fully paid up equity shares held (IV)	6757267	4391063	4379988	3740368	3658189	3268498	3210784
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	6757267	4391063	4379988	3740368	3658189	3268498	3210784
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.98	1.94	1.93	1.65	1.61	1.44	1.42
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	6757267	4391063	4379988	3740368	3658189	3268498	3210784
Class eg:y							
Total	6757267	4391063	4379988	3740368	3658189	3268498	3210784
Total as a % of Total Voting rights	2.98	1.94	1.93	1.65	1.61	1.44	1.42
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.98	1.94	1.93	1.65	1.61	1.44	1.42
Number of Locked in shares (XII)							
No. (a)							

As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	6757267	4391063	4379988	3740368	3658189	3268498	3210784
Reason for not providing PAN							
Reason for not providing PAN							

Foreign Portfolio Investors							
Serial No.	8	9	10	11	12	13	14
Name of the Shareholders (I)	GENERATION IM FUND PLC-GENERATION IM ASIA FUND	GOVERNMENT OF SINGAPORE	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL E	T. ROWE PRICE NEW ASIA FUND	COLLEGE RETIREMENT EQUITIES FUND - (Under differnet sub accounts)	STICHTING DEPOSITARY APG EMERGING MARKETS EQUITY POOL
PAN (II)	AAECG1362L	AAAJG0594R	AABTV0442N	AAATY0918K	AAACT7499R	AAACC9040K	AAVCS6547E
No. of fully paid up equity shares held (IV)	3119518	2927550	2653922	2630369	2627496	2617728	2562380
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3119518	2927550	2653922	2630369	2627496	2617728	2562380
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.37	1.29	1.17	1.16	1.16	1.15	1.13
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	3119518	2927550	2653922	2630369	2627496	2617728	2562380
Class eg:y							
Total	3119518	2927550	2653922	2630369	2627496	2617728	2562380
Total as a % of Total Voting rights	1.37	1.29	1.17	1.16	1.16	1.15	1.13
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.37	1.29	1.17	1.16	1.16	1.15	1.13
Number of Locked in shares (XII)							
No. (a)							

As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	3119518	2927550	2653922	2630369	2627496	2617728	2562380
Reason for not providing PAN							
Reason for not providing PAN							

Foreign Portfolio Investors		
Serial No.	15	
Name of the Shareholders (I)	MFS EMERGING MARKETS EQUITY FUND	Click here to go back
PAN (II)	AABTM4010B	Total
No. of fully paid up equity shares held (IV)	2332902	50878022
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2332902	50878022
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.03	22.42
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	2332902	50878022
Class eg:y		
Total	2332902	50878022
Total as a % of Total Voting rights	1.03	22.42
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.03	22.42
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	2332902	50878022
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Clearing Members	Bodies Corporate	LLP	Others	Foreign Portfolio Investor (Category - III)	Non-Resident Indian (NRI)	IEPF
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)				FOREIGN INSTITUTIONAL INVESTOR			
PAN (II)							
No. of the Shareholders (I)	217	449	22	2	36	1193	1
No. of fully paid up equity shares held (IV)	1936048	1681011	59865	307392	2635019	309983	916255
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1936048	1681011	59865	307392	2635019	309983	916255
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.85	0.74	0.03	0.14	1.16	0.14	0.4
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1936048	1681011	59865	307392	2635019	309983	916255
Class eg:y							
Total	1936048	1681011	59865	307392	2635019	309983	916255
Total as a % of Total Voting rights	0.85	0.74	0.03	0.14	1.16	0.14	0.4
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.85	0.74	0.03	0.14	1.16	0.14	0.4
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in	1936048	1656565	59865	307392	2635019	305483	916255

dematerialized form (XIV)							
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)			
Serial No.	8	9	
Category	Trusts	Unclaimed or Suspense or Escrow Account	
Category / More than 1 percentage	Category	Category	
Name of the Shareholders (I)			Click here to go back
PAN (II)			Total
No. of the Shareholders (I)	5	1	1926
No. of fully paid up equity shares held (IV)	352297	87870	8285740
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	352297	87870	8285740
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.16	0.04	3.66
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	352297	87870	8285740
Class eg:y			
Total	352297	87870	8285740
Total as a % of Total Voting rights	0.16	0.04	3.66
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.16	0.04	3.66
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	352297	87870	8256794

Reason for not providing PAN			
Reason for not providing PAN			

Details of Shares which remain unclaimed for Public				
Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
1	275	87870	87870	

significant beneficial owners

of the SBO			Details of the registered owner					Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest	
PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
									Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control		Exercise of significant influence