

BSE LTD
ACKNOWLEDGEMENT

Acknowledgement No	: 1701201904161531	Date & Time : 17/01/2019 04:16:15 PM
Scrip Code	: 511218	
Entity Name	: Shriram Transport Finance Company Limited	
Compliance Type	: Regulation 31 - Shareholding pattern	
Quarter / Period	: 31/12/2018	
Mode	: E-Filing	

Mathew

From: neaps@nse.co.in
Sent: Thursday, January 17, 2019 4:15 PM
To: mathew.c@stfc.in; vivekmadhukar.a@stfc.in
Subject: Submitted SHP for the quarter/Period ended 31-Dec-2018 of Received

Dear Sir/Madam.

Thanks for submitting the Shareholding Pattern for quarter/Period ended 31-Dec-2018. Kindly note that the submissions received vide NEAPS shall be treated as final submissions and physical copies may be submitted only on request from the Exchange . We will look into the same and revert after further processing.

Regards,
National Stock Exchange of India Limited.

General information about company	
Scrip code	511218
NSE Symbol	SRTRANSFIN
MSEI Symbol	NOTLISTED
ISIN	INE721A01013
Name of the company	Shriram Transport Finance Company Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	59173023			59173023	26.08	59173023		59173023	26.08
(B)	Public	52107	167709713			167709713	73.92	167709713		167709713	73.92
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	52108	226882736			226882736	100	226882736		226882736	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				26.08					59173023
(B)	Public				73.92					164690410
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					223863433

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Class eg: X	Rights Class eg: y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	59173023			59173023	26.08	59173023		59173023	26.08
Sub-Total (A)(1)		1	59173023			59173023	26.08	59173023		59173023	26.08
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	59173023			59173023	26.08	59173023		59173023	26.08
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	26	9111279			9111279	4.02	9111279		9111279	4.02
(c)	Alternate Investment Funds	1	910			910	0	910		910	0
(e)	Foreign Portfolio Investors	502	111861459			111861459	49.3	111861459		111861459	49.3
(f)	Financial Institutions/ Banks	9	990324			990324	0.44	990324		990324	0.44
Sub-Total (B)(1)		538	121963972			121963972	53.76	121963972		121963972	53.76
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	49531	10873302			10873302	4.79	10873302		10873302	4.79
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	24	2464718			2464718	1.09	2464718		2464718	1.09
(b)	NBFCs registered with RBI	9	308703			308703	0.14	308703		308703	0.14
(e)	Any Other (specify)	2005	32099018			32099018	14.15	32099018		32099018	14.15
Sub-Total (B)(3)		51569	45745741			45745741	20.16	45745741		45745741	20.16
Total Public Shareholding (B)=(B)(1)+(B)(3)		52107	167709713			167709713	73.92	167709713		167709713	73.92

(B)(2)+(B) (3)											
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		52108	226882736			226882736	100	226882736		226882736	100
Total (A+B+C)		52108	226882736			226882736	100	226882736		226882736	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				26.08					59173023
Sub-Total (A) (1)				26.08					59173023
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				26.08					59173023
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				4.02					9083034
(c)				0					910
(e)				49.3					111861459
(f)				0.44					990114
Sub-Total (B) (1)				53.76					121935517
(3)	Non-institutions								
(a(ii))				4.79					7914850
(a(ii))				1.09					2464718
(b)				0.14					308703
(e)				14.15					32066622
Sub-Total (B) (3)				20.16					42754893
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				73.92					164690410
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					223863433
Total (A+B+C)				100					223863433
Disclosure of notes on shareholding pattern								Textual Information (1)	

Text Block	
Textual Information()	<p>I. The Promoter Group as defined under Regulation 2(1)(t) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers Regulations, 2011, (SAST Regulations 2011):</p> <p>1. Shriram Ownership Trust 2. Shriram Financial Ventures (Chennai) Pvt Limited, 3. Shriram Life Insurance Company Limited 4. Shriram General Insurance Company Limited 5. Shriram Credit Company Limited 6. Shriram Asset Management Company Limited. 7. Shriram Overseas Investments Private Limited 8. Bharat Investments Pte. Limited, Singapore 9. Shriram City Union Finance Limited 10. Shriram Fortune Solutions Limited 11. Shriram Wealth Advisors Limited 12. Shriram Insight Share Brokers Limited 13. Shriram Financial Products Solutions (Chennai) Private Limited 14. Shriram Housing Finance Limited 15. Insight Commodities and Futures Private Limited 16. Shrelekha Business Consultancy Private Limited 17. Shriram Seva Sankalp Foundation 18. SGI Philippines General Insurance Co Inc. II. The Persons Acting in Concert (PAC), as defined in the SAST Regulations 2011 for the purpose of Regulation 10 of SAST Regulations, 2011: (i) Sanlam Emerging Markets (Mauritius) Limited, (ii) Shriram Mutual Fund (SMF), (iii) Mr. S Krishnamurthy (Trustee of SMF), (iv) Mr. S M Prabhakaran (Trustee of SMF), (v) Mr. V N Shivashankar (Trustee of SMF), (vi) Dr. Qudsia Gandhi (Trustee of SMF), (vii) Mr. Mani Sridhar (Trustee of SMF) and (viii) Sanlam Life Insurance Limited.</p> <p>III. All the entities/persons mentioned in Note No. I and Note No. II are PACs for more than three years.</p> <p>IV. None of the above-mentioned entities/persons in Note No. I and II hold any shares in the Company except the entity at Sr. No. (viii) in Note No. II.</p>

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SHRIRAM CAPITAL LIMITED	
PAN (II)	AABCS2726B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	59173023	59173023
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	59173023	59173023
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	26.08	26.08
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	59173023	59173023
Class eg:y		
Total	59173023	59173023
Total as a % of Total Voting rights	26.08	26.08
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	26.08	26.08
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		

Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	59173023	59173023
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Mutual Funds		
Serial No.	1	
Name of the Shareholders (I)	SBI MUTUAL FUND (Under different sub accounts)	Click here to go back
PAN (II)	AABTS6407Q	Total
No. of fully paid up equity shares held (IV)	2422405	2422405
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2422405	2422405
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.07	1.07
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	2422405	2422405
Class eg:y		
Total	2422405	2422405
Total as a % of Total Voting rights	1.07	1.07
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.07	1.07
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	2422405	2422405
Reason for not providing PAN		

Reason for not providing PAN		
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Foreign Portfolio Investors							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	ABU DHABI INVESTMENT AUTHORITY (Under different sub accounts)	GOVERNMENT OF SINGAPORE	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL E	INVESTEC GLOBAL STRATEGY FUND - ASIAN EQUITY FUND	NEW WORLD FUND INC	SMALLCAP WORLD FUND, INC	SOCIETE GENERALE
PAN (II)	AAACA4380N	AAAJG0594R	AAATY0918K	AABCI6049R	AABCN3163H	AABCS3581L	AABCS7484C
No. of fully paid up equity shares held (IV)	4042082	2593122	2632260	2586344	3250000	2731000	3202503
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4042082	2593122	2632260	2586344	3250000	2731000	3202503
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.78	1.14	1.16	1.14	1.43	1.2	1.41
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	4042082	2593122	2632260	2586344	3250000	2731000	3202503
Class eg:y							
Total	4042082	2593122	2632260	2586344	3250000	2731000	3202503
Total as a % of Total Voting rights	1.78	1.14	1.16	1.14	1.43	1.2	1.41
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	1.78	1.14	1.16	1.14	1.43	1.2	1.41

(XI)= (VII)+ (X) As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	4042082	2593122	2632260	2586344	3250000	2731000	3202503
Reason for not providing PAN							
Reason for not providing PAN							

Foreign Portfolio Investors						
Serial No.	8	9	10	11	12	
Name of the Shareholders (I)	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	GOVERNMENT PENSION FUND GLOBAL	GENERATION IM FUND PLC-GENERATION IM ASIA FUND	SANLAM LIFE INSURANCE LIMITED	STICHTING DEPOSITARY APG EMERGING MARKETS EQUITY POOL	Click here to go back
PAN (II)	AABTV0442N	AACCN1454E	AAECG1362L	AAJCS8461R	AAVCS6547E	Total
No. of fully paid up equity shares held (IV)	2574111	2937437	2623870	6757267	2945242	38875238
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2574111	2937437	2623870	6757267	2945242	38875238
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.13	1.29	1.16	2.98	1.3	17.13
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	2574111	2937437	2623870	6757267	2945242	38875238
Class eg:y						
Total	2574111	2937437	2623870	6757267	2945242	38875238
Total as a % of Total Voting rights	1.13	1.29	1.16	2.98	1.3	17.13
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.13	1.29	1.16	2.98	1.3	17.13
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in	2574111	2937437	2623870	6757267	2945242	38875238

dematerialized form (XIV)						
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Clearing Members	Bodies Corporate	LLP	Others	Foreign Nationals	Foreign Portfolio Investor (Category - III)	IEPF
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)				FOREIGN INSTITUTIONAL INVESTOR			
PAN (II)							
No. of the Shareholders (I)	236	488	30	1	2	30	1
No. of fully paid up equity shares held (IV)	367563	26921253	63978	5632	950	2997572	893121
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	367563	26921253	63978	5632	950	2997572	893121
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.16	11.87	0.03	0	0	1.32	0.39
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	367563	26921253	63978	5632	950	2997572	893121
Class eg:y							
Total	367563	26921253	63978	5632	950	2997572	893121
Total as a % of Total Voting rights	0.16	11.87	0.03	0	0	1.32	0.39
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.16	11.87	0.03	0	0	1.32	0.39
Number of Locked in shares (XII)							

No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	367563	26893807	63978	5632	950	2997572	893121
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)					
Searial No.	8	9	10	11	
Category	Trusts	Unclaimed or Suspense or Escrow Account	Non-Resident Indian (NRI)	Bodies Corporate	
Category / More than 1 percentage	Category	Category	Category	More than 1 percentage of shareholding	
Name of the Shareholders (I)				PIRAMAL ENTERPRISES LIMITED	Click here to go back
PAN (II)				AAACN4538P	Total
No. of the Shareholders (I)	5	1	1211	1	2005
No. of fully paid up equity shares held (IV)	372829	95769	380351	22600000	32099018
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	372829	95769	380351	22600000	32099018
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.16	0.04	0.17	9.96	14.14
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	372829	95769	380351	22600000	32099018
Class eg:y					
Total	372829	95769	380351	22600000	32099018
Total as a % of Total Voting rights	0.16	0.04	0.17	9.96	14.14
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.16	0.04	0.17	9.96	14.14
Number of Locked in shares (XII)					
No. (a)					

As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	372829	95769	375401	22600000	32066622
Reason for not providing PAN					
Reason for not providing PAN					

Details of Shares which remain unclaimed for Public				
Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
1	297	95769	95769	