

BSE LTD

ACKNOWLEDGEMENT

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Scrip Code : 511218

Entity Name : Shriram Transport Finance Company Limited

Compliance Type : Regulation 31 - Shareholding pattern

Quarter / Period : 30/06/2016

Mode : E-Filing

From: neaps@nse.co.in
Sent: Monday, July 18, 2016 4:09 PM
To: vivekmadhukar.a@stfc.in; sreejith.nair@stfc.co.in
Subject: Submitted SHP for the quarter/Period ended 30-Jun-2016 of Received

Dear Sir/Madam.

Thanks for submitting the Shareholding Pattern for quarter/Period ended 30-Jun-2016. Kindly note that the submissions received vide NEAPS shall be treated as final submissions and physical copies may be submitted only on request from the Exchange . We will look into the same and revert after further processing.

Regards,
National Stock Exchange of India Limited.

General information about company

Scrip code	511218
Name of the company	Shriram Transport Finance Company Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2016
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	59103162			59103162	26.05	59103162		59103162	26.05
(B)	Public	43861	167779574			167779574	73.95	167779574		167779574	73.95
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	43862	226882736			226882736		226882736		226882736	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	59103162			59103162	26.05	59103162		59103162	26.05
Sub-Total (A)(1)		1	59103162			59103162	26.05	59103162		59103162	26.05
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	59103162			59103162	26.05	59103162		59103162	26.05
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	100	5547529			5547529	2.45	5547529		5547529	2.45
(e)	Foreign Portfolio Investors	322	61373385			61373385	27.05	61373385		61373385	27.05
(f)	Financial Institutions/ Banks	12	540086			540086	0.24	540086		540086	0.24
Sub-Total (B)(1)		434	67461000			67461000	29.73	67461000		67461000	29.73
(2)	Central Government/ State Government (s)/ President of India	2	411974			411974	0.18	411974		411974	0.18
Sub-Total (B)(2)		2	411974			411974	0.18	411974		411974	0.18
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	41544	11749647			11749647	5.18	11749647		11749647	5.18
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	26	1573743			1573743	0.69	1573743		1573743	0.69

(b)	NBFCs registered with RBI	6	1855			1855	0	1855		1855	0
(e)	Any Other (specify)	1849	86581355			86581355	38.16	86581355		86581355	38.16
Sub-Total (B)(3)		43425	99906600			99906600	44.03	99906600		99906600	44.03
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		43861	167779574			167779574	73.95	167779574		167779574	73.95
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		43862	226882736			226882736	100	226882736		226882736	100
Total (A+B+C)		43862	226882736			226882736		226882736		226882736	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				26.05					59103162
Sub-Total (A) (1)				26.05					59103162
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				26.05					59103162
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				2.45					5513484
(e)				27.05					61373385
(f)				0.24					539876
Sub-Total (B) (1)				29.73					67426745
(2)				0.18					411974
Sub-Total (B) (2)				0.18					411974
(3)	Non-institutions								
(a(i))				5.18					7105602
(a(ii))				0.69					1453743
(b)				0					1855
(e)				38.16					86420977
Sub-Total (B) (3)				44.03					94982177
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				73.95					162820896
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					221924058
Total (A+B+C)									221924058
Disclosure of notes on shareholding pattern									Textual Information(1)

Text Block

Textual Information()

NOTES TO REGULATION 31 OF OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE QUARTER ENDED JUNE 30, 2016 I The Promoter Group as defined under Regulation 2(1)(t) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers Regulations, 2011, (SAST Regulations 2011): (1)Shriram Ownership Trust, (2) Shriram Financial Ventures (Chennai) Private Limited, (3) Shriram Life Insurance Company Limited, (4)Shriram General Insurance Company Limited, (5)Shriram Credit Company Limited, (6) Shriram Asset Management Company Limited, (7)Bharat Reinsurance Brokers Private Limited, (8)Shriram Overseas Investments Private Limited, (9)Shriram Investments Holdings Limited, (10)Bharat Investments Pte. Limited Singapore, (11) Shriram City Union Finance Ltd, (12) Shriram Fortune Solutions Limited, (13) Shriram Wealth Advisors Limited, (14)Shriram Insight Share Brokers Limited, (15)Shriram Financial Products Solutions (Chennai) Private Limited, (16) Shriram Housing Finance Limited, (17) Insight Commodities and Futures Private Limited (18) Shriram Seva Sankalp Foundation (19) Shrelekha Financial Services (Firm) and (20) SGI Philippines General Insurance Co Inc. II The Persons Acting in Concert (PAC), as defined in the SAST Regulations 2011 for the purpose of Regulation 10 of SAST Regulations, 2011: (i)Sanlam Emerging Markets (Mauritius) Limited, (ii) Shriram Mutual Fund (SMF), (iii)Mr. S Krishnamurthy (Trustee of SMF), (iv)Mr.S M Prabhakaran (Trustee of SMF), (v)Mr. V N Shivashankar (Trustee of SMF), (vi)Dr. Qudsia Gandhi (Trustee of SMF), (vii) Mr. Mani Sridhar (Trustee of SMF), (viii) Shriram Automall India Limited and (ix)Sanlam Life Insurance Limited. III All the entities/persons mentioned in Note No. I and Note No. II are PACs for not less than three years except the entities at Sr. No. (18) (19) and (20) in Note No. I and the entities/persons at Sr. No. (vi) and (vii) in Note No II which are PACs for less than three years. IV None of the above-mentioned entities/persons in Note No. I and II hold any shares in the Company except the entity at Sr. No. (ix) in Note No. II.

Any Other (specify)

Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SHRIRAM CAPITAL LIMITED	
PAN (II)	AABCS2726B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	59103162	59103162
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	59103162	59103162
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	26.05	26.05
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	59103162	59103162
Class eg:y		
Total	59103162	59103162
Total as a % of Total Voting rights	26.05	26.05
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	26.05	26.05
Number of Locked in shares (XII)		

No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	59103162	59103162
Reason for not providing PAN		

Foreign Portfolio Investors						
Serial No.	1	2	3	4	5	
Name of the Shareholders (I)	SANLAM LIFE INSURANCE LIMITED	SMALLCAP WORLD FUND, INC	CENTAURA INVESTMENTS (MAURITIUS) PTE LTD	STICHTING DEPOSITARY APG EMERGING MARKETS EQUITY POOL	GOVERNMENT PENSION FUND GLOBAL	Click here to go back
PAN (II)	AAJCS8461R	AABCS3581L	AACCC5118J	AAVCS6547E	AACCN1454E	Total
No. of fully paid up equity shares held (IV)	6757267	4616861	4490960	4005218	2989441	22859747
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	6757267	4616861	4490960	4005218	2989441	22859747
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.98	2.03	1.98	1.77	1.32	10.08
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	6757267	4616861	4490960	4005218	2989441	22859747
Class eg:y						
Total	6757267	4616861	4490960	4005218	2989441	22859747
Total as a % of Total Voting rights	2.98	2.03	1.98	1.77	1.32	10.08
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	2.98	2.03	1.98	1.77	1.32	10.08
Number of Locked in shares (XII)						
No. (a)						
As a % of total						

Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	6757267	4616861	4490960	4005218	2989441	22859747
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)

Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Foreign Individuals or NRI	Trusts	Clearing Members	Overseas corporate bodies	Other	Other
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)						LIMITED LIABILITY PARTNERSHIP	FOREIGN INSTITUTIONAL INVESTOR
PAN (II)							
No. of the Shareholders (I)	504	816	9	269	1	8	231
No. of fully paid up equity shares held (IV)	26307494	349733	305836	555802	50	6610	58487300
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	26307494	349733	305836	555802	50	6610	58487300
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.6	0.15	0.13	0.24	0	0	25.78
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	26307494	349733	305836	555802	50	6610	58487300
Class eg:y							
Total	26307494	349733	305836	555802	50	6610	58487300
Total as a % of Total Voting rights	11.6	0.15	0.13	0.24	0	0	25.78
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)	11.6	0.15	0.13	0.24	0	0	25.78

+(X) As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	26272141	224758	305836	555802	0	6610	58487300
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)						
Serial No.	8	9	10	11	12	13
Category	Other	Bodies Corporate	Other	Other	Other	Other
Category / More than 1 percentage	Category	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding
Name of the Shareholders (I)	FOREIGN PORT FOLIO INVESTOR-CORPORATE 3	PIRAMAL ENTERPRISES LIMITED	GOVERNMENT OF SINGAPORE - FOREIGN INSTITUTIONAL INVESTOR	NEW WORLD FUND INC - FOREIGN INSTITUTIONAL INVESTOR	VANGUARD EMERGING MARKETS STOCK INDEX FUND, ASERIES OF VANGUARD INTERNATIONAL EQUITY INDE X FUND - FOREIGN INSTITUTIONAL INVESTOR	KUWAIT INVESTMENT AUTHORITY - FUND NO. 208 - FOREIGN INSTITUTIONAL INVESTOR
PAN (II)		AAACN4538P	AAAJG0594R	AABCN3163H	AAATY0918K	AAATK3858Q
No. of the Shareholders (I)	11	1	1	1	1	1
No. of fully paid up equity shares held (IV)	568530	22600000	4385032	3582410	2619405	2377996
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	568530	22600000	4385032	3582410	2619405	2377996
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.25	9.96	1.93	1.58	1.15	1.05
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	568530	22600000	4385032	3582410	2619405	2377996
Class eg:y						
Total	568530	22600000	4385032	3582410	2619405	2377996
Total as a % of Total Voting rights	0.25	9.96	1.93	1.58	1.15	1.05
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares						

Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.25	9.96	1.93	1.58	1.15	1.05
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	568530	22600000	4385032	3582410	2619405	2377996
Reason for not providing PAN						
Reason for not providing PAN						

Any Other (specify)

Serial No.	
Category	
Category / More than 1 percentage	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of the Shareholders (I)	1849
No. of fully paid up equity shares held (IV)	86581355
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	86581355
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	38.15
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	86581355
Class eg:y	
Total	86581355
Total as a % of Total Voting rights	38.15
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	38.15
Number of Locked in shares (XII)	

No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	86420977
Reason for not providing PAN	
Reason for not providing PAN	

Details of Shares which remain unclaimed for Public

Searial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
1	1287	340362	340362	