

BSE LTD

## ACKNOWLEDGEMENT

Acknowledgement No : 0610201801592131

Date & Time : 06/10/2018 01:59:21 PM

Scrip Code : 511218

Entity Name : Shriram Transport Finance Company Limited

Compliance Type : Regulation 31 - Shareholding pattern

Quarter / Period : 30/09/2018

Mode : E-Filing

## **Mathew**

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**From:** neaps@nse.co.in  
**Sent:** Saturday, October 06, 2018 2:13 PM  
**To:** mathew.c@stfc.in; vivekmadhukar.a@stfc.in  
**Subject:** Submitted SHP for the quarter/Period ended 30-Sep-2018 of Received

Dear Sir/Madam.

Thanks for submitting the Shareholding Pattern for quarter/Period ended 30-Sep-2018. Kindly note that the submissions received vide NEAPS shall be treated as final submissions and physical copies may be submitted only on request from the Exchange . We will look into the same and revert after further processing.

Regards,  
National Stock Exchange of India Limited.

<b>General information about company</b>	
Scrip code	511218
NSE Symbol	SRTRANSFIN
MSEI Symbol	-
ISIN	INE721A01013
Name of the company	Shriram Transport Finance Company Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2018
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

<b>Declaration</b>					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	59173023			59173023	26.08	59173023		59173023	26.08
(B)	Public	51616	167709713			167709713	73.92	167709713		167709713	73.92
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	51617	226882736			226882736	100	226882736		226882736	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a% assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				26.08					59173023
(B)	Public				73.92					164449067
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					223622090

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights	Total		
								Class eg: X	Class eg:y		
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	1	59173023			59173023	26.08	59173023		59173023	26.08
Sub-Total (A)(1)		1	59173023			59173023	26.08	59173023		59173023	26.08
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	59173023			59173023	26.08	59173023		59173023	26.08
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	28	9268174			9268174	4.09	9268174		9268174	4.09
(c)	Alternate Investment Funds	1	910			910	0	910		910	0
(e)	Foreign Portfolio Investors	531	110487390			110487390	48.7	110487390		110487390	48.7
(f)	Financial Institutions/ Banks	10	1009430			1009430	0.44	1009430		1009430	0.44
Sub-Total (B)(1)		570	120765904			120765904	53.23	120765904		120765904	53.23
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	49034	10919631			10919631	4.81	10919631		10919631	4.81
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	24	2963539			2963539	1.31	2963539		2963539	1.31
(b)	NBFCs registered with RBI	11	308504			308504	0.14	308504		308504	0.14
(e)	Any Other (specify)	1977	32752135			32752135	14.44	32752135		32752135	14.44
Sub-Total (B)(3)		51046	46943809			46943809	20.69	46943809		46943809	20.69
Total Public Shareholding (B)=(B)(1)+(B)(3)		51616	167709713			167709713	73.92	167709713		167709713	73.92

(B)(2)+(B) (3)											
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		51617	226882736			226882736	100	226882736		226882736	100
Total (A+B+C )		51617	226882736			226882736	100	226882736		226882736	100



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (X1)= (VII) +(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				26.08					59173023
Sub-Total (A) (1)				26.08					59173023
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				26.08					59173023
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				4.09					9239929
(c)				0					910
(e)				48.7					110487390
(f)				0.44					1009220
Sub-Total (B) (1)				53.23					120737449
(3)	Non-institutions								
(a(i))				4.81					7719836
(a(ii))				1.31					2963539
(b)				0.14					308504
(e)				14.44					32719739
Sub-Total (B) (3)				20.69					43711618
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				73.92					164449067
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					223622090
Total (A+B+C)				100					223622090
Disclosure of notes on shareholding pattern								Textual Information (1)	

<b>Text Block</b>	
Textual Information()	<p>I. The Promoter Group as defined under Regulation 2(1)(t) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers Regulations, 2011, (SAST Regulations 2011): 1. Shriram Ownership Trust 2. Shriram Financial Ventures (Chennai) Pvt Limited 3 Shriram Life Insurance Company Limited 4. Shriram General Insurance Company Limited 5. Shriram Credit Company Limited 6. Shriram Asset Management Company Limited. 7. Shriram Overseas Investments Private Limited 8. SGI Philippines General Insurance Co Inc. 9. Shriram Seva Sankalp Foundation 10. Bharat Investments Pte. Limited, Singapore 11. Shriram City Union Finance Limited 12. Shriram Fortune Solutions Limited 13. Shriram Wealth Advisors Limited 14. Shriram Insight Share Brokers Limited 15. Shriram Financial Products Solutions (Chennai) Private Limited 16. Shriram Housing Finance Limited 17. Insight Commodities and Futures Private Limited 18. Shrelekhya Business Consultancy Private Limited. II. The Persons Acting in Concert (PAC), as defined in the SAST Regulations 2011 for the purpose of Regulation 10 of SAST Regulations, 2011: (i) Sanlam Emerging Markets (Mauritius) Limited, (ii) Shriram Mutual Fund (SMF), (iii) Mr. S Krishnamurthy (Trustee of SMF), (iv) Mr. S M Prabhakaran (Trustee of SMF), (v) Mr. V N Shivashankar (Trustee of SMF), (vi) Dr. Qudsia Gandhi (Trustee of SMF), (vii) Mr. Mani Sridhar (Trustee of SMF) and (viii) Sanlam Life Insurance Limited. III. All the entities/persons mentioned in Note No. I and Note No. II are PACs for more than three years. IV. None of the above-mentioned entities/persons in Note No. I and II hold any shares in the Company except the entity at Sr. No. (viii) in Note No. II.</p>

Any Other (specify)		
Searial No.	1	
Category	Bodies Corporate	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	SHRIRAM CAPITAL LIMITED	
PAN (II)	AABCS2726B	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	59173023	59173023
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	59173023	59173023
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	26.08	26.08
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	59173023	59173023
Class eg:y		
Total	59173023	59173023
Total as a % of Total Voting rights	26.08	26.08
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	26.08	26.08
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		

Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	59173023	59173023
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

<b>Mutual Funds</b>		
Serial No.	1	
Name of the Shareholders (I)	SBI-ETF SENSEX NEXT 50	<a href="#">Click here to go back</a>
PAN (II)	AABTS6407Q	Total
No. of fully paid up equity shares held (IV)	2595685	2595685
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	2595685	2595685
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.14	1.14
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	2595685	2595685
Class eg: y		
Total	2595685	2595685
Total as a % of Total Voting rights	1.14	1.14
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.14	1.14
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	2595685	2595685
Reason for not providing PAN		

Reason for not providing PAN		
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Foreign Portfolio Investors							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	ABU DHABI INVESTMENT AUTHORITY - QUAX	GOVERNMENT OF SINGAPORE	OPPENHEIMER INTERNATIONAL SMALL-MID COMPANY FUND	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL E	INVESTEC GLOBAL STRATEGY FUND - ASIAN EQUITY FUND	NEW WORLD FUND INC	SMALLCAP WORLD FUND, INC
PAN (II)	AAACA4380N	AAAJG0594R	AAATO0456A	AAATY0918K	AABC16049R	AABCN3163H	AABCS3581L
No. of fully paid up equity shares held (IV)	4056738	2344390	3074062	2659225	2385988	3250000	2731000
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4056738	2344390	3074062	2659225	2385988	3250000	2731000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.79	1.03	1.35	1.17	1.05	1.43	1.2
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	4056738	2344390	3074062	2659225	2385988	3250000	2731000
Class eg:y							
Total	4056738	2344390	3074062	2659225	2385988	3250000	2731000
Total as a % of Total Voting rights	1.79	1.03	1.35	1.17	1.05	1.43	1.2
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	1.79	1.03	1.35	1.17	1.05	1.43	1.2

(XI)= (VII)+ (X) As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	4056738	2344390	3074062	2659225	2385988	3250000	2731000
Reason for not providing PAN							
Reason for not providing PAN							



Foreign Portfolio Investors					
Searial No.	8	9	10	11	
Name of the Shareholders (I)	SOCIETE GENERALE	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	SANLAM LIFE INSURANCE LIMITED	STICHTING DEPOSITARY APG EMERGING MARKETS EQUITY POOL	Click here to go back
PAN (II)	AABCS7484C	AABTV0442N	AAJCS8461R	AAVCS6547E	Total
No. of fully paid up equity shares held (IV)	3169972	2445584	6757267	2942375	35816601
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	3169972	2445584	6757267	2942375	35816601
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.4	1.08	2.98	1.3	15.79
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	3169972	2445584	6757267	2942375	35816601
Class eg: y					
Total	3169972	2445584	6757267	2942375	35816601
Total as a % of Total Voting rights	1.4	1.08	2.98	1.3	15.79
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.4	1.08	2.98	1.3	15.79
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	3169972	2445584	6757267	2942375	35816601

Reason for not providing PAN				
Reason for not providing PAN				

Any Other (specify)							
Searial No.	1	2	3	4	5	6	7
Category	Clearing Members	Bodies Corporate	Bodies Corporate	LLP	Others	Foreign Nationals	Foreign Portfolio Investor (Category - III)
Category / More than 1 percentage	Category	Category	More than 1 percentage of shareholding	Category	Category	Category	Category
Name of the Shareholders (I)			PIRAMAL ENTERPRISES LIMITED		FOREIGN INSTITUTIONAL INVESTOR		
PAN (II)			AAACN4538P				
No. of the Shareholders (I)	231	511	1	28	2	2	30
No. of fully paid up equity shares held (IV)	1258098	25689743	22600000	62617	52305	950	3967025
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1258098	25689743	22600000	62617	52305	950	3967025
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.55	11.32	9.96	0.03	0.02	0	1.75
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	1258098	25689743	22600000	62617	52305	950	3967025
Class eg: y							
Total	1258098	25689743	22600000	62617	52305	950	3967025
Total as a % of Total Voting rights	0.55	11.32	9.96	0.03	0.02	0	1.75
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.55	11.32	9.96	0.03	0.02	0	1.75

Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	1258098	25662297	22600000	62617	52305	950	3967025
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)					
Serial No.	8	9	10	11	
Category	Non-Resident Indian (NRI)	IEPF	Trusts	Unclaimed or Suspense or Escrow Account	
Category / More than 1 percentage	Category	Category	Category	Category	
Name of the Shareholders (I)					<a href="#">Click here to go back</a>
PAN (II)					Total
No. of the Shareholders (I)	1167	1	4	1	1977
No. of fully paid up equity shares held (IV)	362086	893171	370371	95769	32752135
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	362086	893171	370371	95769	32752135
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.16	0.39	0.16	0.04	14.42
Number of Voting Rights held in each class of securities (IX)					
Class eg: X	362086	893171	370371	95769	32752135
Class eg:y					
Total	362086	893171	370371	95769	32752135
Total as a % of Total Voting rights	0.16	0.39	0.16	0.04	14.42
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.16	0.39	0.16	0.04	14.42
Number of Locked in shares (XII)					
No. (a)					

As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	357136	893171	370371	95769	32719739
Reason for not providing PAN					
Reason for not providing PAN					

<b>Details of Shares which remain unclaimed for Public</b>				
Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
1	297	95769	95769	

