

General information about company

Scrip code	511218
NSE Symbol	SRTRANSFIN
MSEI Symbol	NOTLISTED
ISIN	INE721A01013
Name of the company	SHRIRAM TRANSPORT FINANCE COMPANY LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	2	59554984			59554984	26.25	59554984		59554984	26.25
(B)	Public	51411	167327752			167327752	73.75	167327752		167327752	73.75
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	51413	226882736			226882736	100	226882736		226882736	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				26.25					59554984
(B)	Public				73.75					164811447
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					224366431

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								Class eg: X	Class eg: y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(d)	Any Other (specify)	2	59554984			59554984	26.25	59554984		59554984	26.25
Sub-Total (A)(1)		2	59554984			59554984	26.25	59554984		59554984	26.25
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		2	59554984			59554984	26.25	59554984		59554984	26.25
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	26	5460848			5460848	2.41	5460848		5460848	2.41
(c)	Alternate Investment Funds	2	93000			93000	0.04	93000		93000	0.04
(e)	Foreign Portfolio Investors	672	145785589			145785589	64.26	145785589		145785589	64.26
(f)	Financial Institutions/ Banks	4	530392			530392	0.23	530392		530392	0.23
(g)	Insurance Companies	6	845795			845795	0.37	845795		845795	0.37
Sub-Total (B)(1)		710	152715624			152715624	67.31	152715624		152715624	67.31
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	48967	10000425			10000425	4.41	10000425		10000425	4.41
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	22	1600958			1600958	0.71	1600958		1600958	0.71
(b)	NBFCs registered with RBI	2	110			110	0	110		110	0
(e)	Any Other (specify)	1710	3010635			3010635	1.33	3010635		3010635	1.33
Sub-Total (B)(3)		50701	14612128			14612128	6.44	14612128		14612128	6.44

Total Public Shareholding (B)=(B) (1)+(B) (2)+(B)(3)		51411	167327752			167327752	73.75	167327752		167327752	73.75
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		51413	226882736			226882736	100	226882736		226882736	100
Total (A+B+C)		51413	226882736			226882736	100	226882736		226882736	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(d)				26.25					59554984
Sub-Total (A) (1)				26.25					59554984
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				26.25					59554984
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				2.41					5432653
(c)				0.04					93000
(e)				64.26					145785589
(f)				0.23					530182
(g)				0.37					845795
Sub-Total (B) (1)				67.31					152687219
(3)	Non-institutions								
(a(i))				4.41					7541471
(a(ii))				0.71					1600958
(b)				0					110
(c)				1.33					2981689
Sub-Total (B) (3)				6.44					12124228
Total Public Shareholding (B)=(B) (1)+(B)(2)+(B) (3)				73.75					164811447
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					224366431
Total (A+B+C)				100					224366431
Disclosure of notes on shareholding pattern								Textual Information(1)	

Text Block

Textual Information()

I. The Promoter Group as defined under Regulation 2(1)(t) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers Regulations, 2011, (SAST Regulations 2011): 1. Shriram Ownership Trust 2. Shriram Financial Ventures (Chennai) Pvt Limited 3 Shriram Life Insurance Company Limited 4. Shriram General Insurance Company Limited 5. Shriram Credit Company Limited 6. Shriram Asset Management Company Limited. 7. Shriram Overseas Investments Private Limited 8. Bharat Investments Pte. Limited, Singapore 9. Shriram City Union Finance Limited 10. Shriram Fortune Solutions Limited 11. Shriram Wealth Advisors Limited 12. Shriram Insight Share Brokers Limited 13. Shriram Financial Products Solutions (Chennai) Private Limited 14. Shriram Housing Finance Limited 15. Insight Commodities and Futures Private Limited 16. Shrelekhya Business Consultancy Private Limited 17. Shriram Seva Sankalp Foundation 18. SGI Philippines General Insurance Co Inc. 19. Shriram Value Services Limited 20. Novae Technology Solutions Private Limited 21. Novac Digital Services Private Limited 22. Snottor Technology Services Private Limited. II. The Persons Acting in Concert (PAC), as defined in the SAST Regulations 2011 for the purpose of Regulation 10 of SAST Regulations, 2011: (i) Sanlam Emerging Markets (Mauritius) Limited, (ii) Shriram Mutual Fund (SMF), (iii) Mr. S Krishnamurthy (Trustee of SMF), (iv) Mr. V N Shivashankar (Trustee of SMF), (v) Dr. Qudsiya Gandhi (Trustee of SMF), (vi) Mr. Mani Sridhar (Trustee of SMF) (Trustee of SMF) and (vii) Sanlam Life Insurance Limited. III. All the entities/persons mentioned in Note No. I and Note No. II are PACs for more than three years, except the entity at Sr. No. (19), (20), (21) & (22) in Note No. I are PACs for less than three years. IV. None of the above-mentioned entities/persons in Note No. I and II hold any shares in the Company except the entity at Sr. No. (2) in Note No. I and (vii) in Note No. II.

Any Other (specify)			
Searial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	SHRIRAM CAPITAL LIMITED - Promoter	SHRIRAM FINANCIAL VENTURES (CHENNAI) PRIVATE LIMITED - Promoter Group	
PAN (II)	AABCS2726B	AAPCS5667M	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	59504947	50037	59554984
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	59504947	50037	59554984
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	26.23	0.02	26.25
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	59504947	50037	59554984
Class eg:y			
Total	59504947	50037	59554984
Total as a % of Total Voting rights	26.23	0.02	26.25
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	26.23	0.02	26.25
Number of Locked in shares (XII)			
No. (a)			

As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	59504947	50037	59554984
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type			

Foreign Portfolio Investors							
Serial No.	1	2	3	4	5	6	7
Name of the Shareholders (I)	SANLAM LIFE INSURANCE LIMITED	FIDELITY INVESTMENT TRUST FIDELITY SERIES EMERGING MARKETS OPPORTUNITIES FUND	GOVERNMENT PENSION FUND GLOBAL	INVESTEC GLOBAL STRATEGY FUND - ASIAN EQUITY FUND	T. ROWE PRICE NEW ASIA FUND	MFS EMERGING MARKETS EQUITY FUND	GENERATION IM FUND PLC- GENERATION IM ASIA FUND
PAN (II)	AAJCS8461R	AAATF2631A	AACCN1454E	AABCI6049R	AAACT7499R	AABTM4010B	AAECG1362L
No. of fully paid up equity shares held (IV)	6757267	5050470	4958790	3515160	3410739	3177938	3162011
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	6757267	5050470	4958790	3515160	3410739	3177938	3162011
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.98	2.23	2.19	1.55	1.5	1.4	1.39
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	6757267	5050470	4958790	3515160	3410739	3177938	3162011
Class eg:y							
Total	6757267	5050470	4958790	3515160	3410739	3177938	3162011
Total as a % of Total Voting rights	2.98	2.23	2.19	1.55	1.5	1.4	1.39
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=	2.98	2.23	2.19	1.55	1.5	1.4	1.39

(VII)+(X) As a % of (A+B+C2)							
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	6757267	5050470	4958790	3515160	3410739	3177938	3162011
Reason for not providing PAN							
Reason for not providing PAN							

Foreign Portfolio Investors						
Serial No.	8	9	10	11	12	13
Name of the Shareholders (I)	GOVERNMENT OF SINGAPORE	ABU DHABI INVESTMENT AUTHORITY (Under different Sub accounts)	INVESCO PERPETUAL ASIAN EQUITY INCOME FUND (Under Different sub accounts)	VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND	VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL E	PLATINUM ASIA FUND
PAN (II)	AAAJG0594R	AAACA4380N	AABCI2875H	AABTV0442N	AAATY0918K	AAATP8273E
No. of fully paid up equity shares held (IV)	3102634	3065964	2842686	2714201	2491163	2388397
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	3102634	3065964	2842686	2714201	2491163	2388397
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.37	1.35	1.25	1.2	1.1	1.05
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	3102634	3065964	2842686	2714201	2491163	2388397
Class eg:y						
Total	3102634	3065964	2842686	2714201	2491163	2388397
Total as a % of Total Voting rights	1.37	1.35	1.25	1.2	1.1	1.05
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.37	1.35	1.25	1.2	1.1	1.05
Number of Locked in shares (XII)						
No. (a)						

As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	3102634	3065964	2842686	2714201	2491163	2388397
Reason for not providing PAN						
Reason for not providing PAN						

Foreign Portfolio Investors

Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	46637420
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+(V)+ (VI)	46637420
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	20.56
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	46637420
Class eg:y	
Total	46637420
Total as a % of Total Voting rights	20.56
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	20.56
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	46637420
Reason for not providing PAN	

Reason for not providing PAN	
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Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Clearing Members	Bodies Corporate	LLP	Foreign Portfolio Investor (Category - III)	Non-Resident Indian (NRI)	IETF	Trusts
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)							
PAN (II)							
No. of the Shareholders (I)	151	390	19	1	1141	1	6
No. of fully paid up equity shares held (IV)	205463	890166	69640	135492	288233	968933	372793
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+(V)+ (VI)	205463	890166	69640	135492	288233	968933	372793
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.09	0.39	0.03	0.06	0.13	0.43	0.16
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	205463	890166	69640	135492	288233	968933	372793
Class eg:y							
Total	205463	890166	69640	135492	288233	968933	372793
Total as a % of Total Voting rights	0.09	0.39	0.03	0.06	0.13	0.43	0.16
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.09	0.39	0.03	0.06	0.13	0.43	0.16
Number of Locked in shares (XII)							
No. (a)							

As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	205463	865720	69640	135492	283733	968933	372793
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)

Serial No.	8	
Category	Unclaimed or Suspense or Escrow Account	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		Click here to go back
PAN (II)		Total
No. of the Shareholders (I)	1	1710
No. of fully paid up equity shares held (IV)	79915	3010635
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	79915	3010635
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.04	1.33
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	79915	3010635
Class eg:y		
Total	79915	3010635
Total as a % of Total Voting rights	0.04	1.33
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.04	1.33
Number of Locked in shares (XII)		
No. (a)		

As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	79915	2981689
Reason for not providing PAN		
Reason for not providing PAN		

Details of Shares which remain unclaimed for Public

Serial No.	Number of shareholders	Outstanding shares held in demat or unclaimed suspense account	voting rights which are frozen	Disclosure of notes on shares which remain unclaimed for public shareholders
1	248	79915	79915	

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect* Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence

BSE LTD

ACKNOWLEDGEMENT

Acknowledgement No	: 1401202002161731	Date & Time	: 14/01/2020 02:16:17 PM
Scrip Code	: 511218		
Entity Name	: SHRIRAM TRANSPORT FINANCE COMPANY LIMITED		
Compliance Type	: Regulation 31 - Shareholding pattern		
Quarter / Period	: 31/12/2019		
Mode	: E-Filing		

Mathew

From: neaps@nse.co.in
Sent: 14 January 2020 02:13 PM
To: mathew.c@stfc.in; vivekmadhukar.a@stfc.in
Subject: Submitted SHP for the quarter/Period ended 31-Dec-2019 of Received

Dear Sir/Madam.

Thanks for submitting the Shareholding Pattern for quarter/Period ended 31-Dec-2019. Kindly note that the submissions received vide NEAPS shall be treated as final submissions and physical copies may be submitted only on request from the Exchange . We will look into the same and revert after further processing.

Regards,
National Stock Exchange of India Limited.